Edgar Filing: Global Water Resources, Inc. - Form 8-K

Global Water Resources, Inc. Form 8-K March 22, 2019

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934
Date of Report (Date of earliest event reported): March 20, 2019

#### GLOBAL WATER RESOURCES, INC.

(Exact name of registrant as specified in its charter)

Delaware 001-37756 90-0632193 (State of other jurisdiction (Commission (IRS Employer of incorporation) File Number) Identification No.) 21410 N. 19th Avenue #220, Phoenix, Arizona, 85027 (Address of principal executive offices, including zip code) Registrant's telephone number, including area code: (480) 360-7775 Not Applicable (Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2 below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company x

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

### Item 8.01 Other Events

On March 20, 2019, Global Water Resources, Inc. (the "Company") filed a motion with the Arizona Corporation Commission ("ACC") to request an order for certain of its utilities, including Global Water-Santa Cruz Water Company, LLC and Global Water-Palo Verde Utilities Company, LLC, to file a rate case no later than June 30, 2020, using the twelve-month period ending December 31, 2019 as a test year. Although the Company currently intends to seek a rate increase in connection with the rate case, any such increase is subject to the approval of the ACC. There is no guarantee that the ACC will approve a rate increase or whether the ACC will take any other actions as a result of the rate case.

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## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

GLOBAL WATER RESOURCES, INC.

Date: March 22, 2019 /s/ Michael J. Liebman

Michael J. Liebman Chief Financial Officer