ENERGY FOCUS, INC/DE
Form 4
December 20, 2013

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
Expires:	January 31, 2005
Estimated average burden hours per response...	0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Luo Jiangang

(Last) (First) (Middle)

18 MICHELLE WAY

(Street)

PINE BROOK, NJ 07058

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
ENERGY FOCUS, INC/DE [EFOI]

3. Date of Earliest Transaction (Month/Day/Year)
12/18/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

__X__ Director __X__ 10% Owner
_____ Officer (give title below) _____ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying S (Instr. 3 and

Derivative Security					Disposed of (D) (Instr. 3, 4, and 5)				
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Common Stock Option	$ 0.48	12/18/2013	A		50,000		01/18/2014 (1)	12/18/2023	Common Stock
Subordinated Convertible Debt (2)	$ 0.23	05/30/2013	A		869,565		09/30/2013 (3)	12/31/2016	Common Stock
Subordinated Convertible Debt (2)	$ 0.23	08/02/2013	A		434,783		12/31/2013 (3)	12/31/2016	Common Stock
Subordinated Convertible Debt (2)	$ 0.23	09/16/2013	A		1,282,609		01/31/2014 (3)	12/31/2016	Common Stock
Subordinated Convertible Debt (2)	$ 0.23	10/10/2013	A		2,608,696		02/28/2014 (3)	12/31/2016	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Luo Jiangang 18 MICHELLE WAY PINE BROOK, NJ 07058	X	X		

Signatures

/s/ Eric Hilliard with Power of Attorney for Jiangang Luo	12/20/2013
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Date applies to 1/12th of the total as option vest monthly over a twelve month period.

(2) Subordinated convertible debt matures on December 31, 2016, and is convertible into shares of Common Stock at $0.23 per share.

(3) Represents date when debt first becomes convertible into shares of Common Stock.

(4) Mr. Luo is Managing Partner of Prime Science & Technology, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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