# Edgar Filing: ARTS WAY MANUFACTURING CO INC - Form 144

ARTS WAY MANUFACTURING CO INC Form 144 October 15, 2014

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# **FORM 144**

### NOTICE OF PROPOSED SALE OF SECURITIES

### PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

**ATTENTION:** Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker

1 (a) NAMI	E OF ISSUER (Please type or prin	t) (b) IRS IDENT. NO.	(c) S.E.C. FILE	NO.		
Art's-Way N	Manufacturing Co., Inc.	42-0920725				
1(d)ADDR	ESS OF ISSUER STREET	CITY STATEZIP C	CODE (e) TELEF	PHONE NO.		
	5556 Highway 9	Armstrong Iowa 5051	4 AREA CO	DE NUMBE	R	
			712	864-3131		
, ,	E OF PERSON FOR WHOSE THE SECURITIES ARE TO BE	(b) RELATIONSHIP TO ISSUER	(c) ADDRESS STREET	CITY	STATE	ZIP
Joseph R. I	Dancy	Director	1007 Beaver Creek Dr.	Duncanville	TX	75137

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a)	<i>(b)</i>	SEC USE ONLY	<i>(c)</i>	(d)	<i>(e)</i>	<i>(f)</i>	<i>(g)</i>
Title of the			Number of Shares	00 0	Number of Shares	Approximate	Name of Each
	Name and	Broker-Dealer	•	Market		<b>Date of Sale</b>	
Class of	<b>Address of Each</b>						Securities

Edgar Filing: ARTS WAY MANUFACTURING CO INC - Form 144

Securities	Broker Through File Number Whom the	or Other Units	Value	or Other Units	(See instr. 3(f))	Exchange
To Be Sold	Securities are to be Offered or	To Be Sold	(See instr. 3(d))	Outstanding	(MO. DAY YR.	)(See instr. 3(g))
	Each Market Maker	(See instr	:	(See instr. 3(e))		
Common Stock	who is Acquiring the Securities Fidelity Brokerage Services LLC  4001 Northwest Pkwy	8,000	\$39,896 (as of 10/9/14)	4,048,552 (as of 9/22/14)	10/9/14	NASDAQ
Common Stock	Dallas, TX 75225 Fidelity Brokerage Services LLC  4001 Northwest Pkwy  Dallas, TX 75225	16,000	\$80,124.50 (as of 10/8/14)	4,048,552 (as of 9/22/14)	10/8/14	NASDAQ

### **INSTRUCTIONS:**

- 1.(a) Name of issuer
  - (b) Issuer's I.R.S. Identification Number
  - (c) Issuer's S.E.C. file number, if any
  - (d) Issuer's address, including zip code
  - (e) Issuer's telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person's relationship to the issuer (e.g., officer, director, 10%stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person's address, including zip code

- 3.(a) Title of the class of securities to be sold
  - (b) Name and address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, asshown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

# Edgar Filing: ARTS WAY MANUFACTURING CO INC - Form 144

(d) Such person's address, including zip code

### TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	•	Date of Payment	Nature of Payment
Common Stock	October 1, 2007 through May 3, 2011 (for lot of shares sold on 10/9/14) September 17, 2007 through February 29, 2012 (for lot of shares sold on 10/8/14)	Open market purchase	Art's-Way Manufacturing Co., Inc.	58,000 (for lot of shares sold on 10/9/14) 55,500 (for lot of shares sold on 10/8/14)	October 1, 2007 through May 3, 2011 (for lot of shares sold on 10/9/14) September 17, 2007 through February 29, 2012 (for lot of shares sold on 10/8/14)	Cash

**INSTRUCTIONS:** If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

### TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

### Amount of

Name and Address of Seller Title of Securities Sold Date of Sale Securities Sold Gross Proceeds

### **REMARKS:**

#### **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION: The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publiclydisclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

October 15, 2014 DATE OF NOTICE /s/ Joseph R. Dancy (SIGNATURE)

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1 The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)