## Edgar Filing: CAPSTONE TURBINE Corp - Form 4

CAPSTONE Form 4 May 16, 201	TURBINE C	orp										
FORM	1 /									OMB AF	PROVAL	
	UNITE	D STATES		RITIES A shington				GE C	OMMISSION	OMB Number:	3235-0287	
Check the if no long	ter									Expires:	January 31, 2005	
subject to STATEMENT OF CHANGES IN BENEFICIA Section 16. SECURITIES					CIAL	OWN	NERSHIP OF	burden hou	Estimated average burden hours per			
Form 4 o Form 5	Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							Act of $1034$	response	0.5		
obligation may cont <i>See</i> Instru 1(b).	ns inue. Section	7(a) of the	Public U		di	ng Comp	any A	Act of	1935 or Section	1		
(Print or Type I	Responses)											
Jamison Darren Symbol									5. Relationship of Reporting Person(s) to Issuer			
				CAPSTONE TURBINE Corp [cpst]					(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of (Month/D	f Earliest T	rar	isaction			Director	10%	Owner	
21211 NOR	DHOFF STRI	EET	05/14/2	-					Officer (give below)		er (specify	
			ed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)				
CHATSWO	RTH, CA 913	11							_X_ Form filed by C Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-I	Dei	rivative Se	curiti	es Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V		Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	05/14/2014			А	]	100,000	А	\$0	962,260 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and A Underlying S (Instr. 3 and
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right-to-Buy)	\$ 1.4	05/14/2014		А	153,800	05/14/2015(2)	05/14/2024	Common Stock

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## **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Jamison Darren 21211 NORDHOFF STREET CHATSWORTH, CA 91311			Director, President & CEO			
Signatures						
Darren Jamison, Reporting Person	(	)5/16/2014				

**Explanation of Responses:** 

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Adjusted to reflect the correction of a clerical error.
- (2) 25% of the options vest on this date; and monthly thereafter on a pro rata basis following the next 36 months.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.