Edgar Filing: CAPSTONE TURBINE Corp - Form 4

Form 4	TURBINE Co	rp									
May 17, 201	_								OMB AI	PPROVAL	
FORM	UNITE) STATES		ITIES A hington,			NGE (COMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or				GES IN BENEFICIAL OWNERSHIP OF SECURITIES 5(a) of the Securities Exchange Act of 1934,					Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5		
obligation may cont <i>See</i> Instru 1(b).	ns Section 17	7(a) of the		ility Hold	ling Com	ipany	Act of	f 1935 or Sectio	'n		
(Print or Type R	Responses)										
Brooks Jayme L. Symbo			Symbol	2. Issuer Name and Ticker or Trading ymbol APSTONE TURBINE Corp [cpst]				5. Relationship of Reporting Person(s) to Issuer			
(Month/D 21211 NORDHOFF STREET 05/15/20 (Street) 4. If Amer			(Month/D	3. Date of Earliest Transaction(Month/Day/Year)05/15/2017				(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> X Officer (give title <u></u> Other (specify below) <u>below</u>) CFO & CAO			
			Amendment, Date Original d(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
CHATSWO	RTH, CA 9131	.1						Form filed by M Person	More than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	quired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execution any	emed on Date, if 'Day/Year)		on(A) or Di (D) (Instr. 3,	4 and (A) or	d of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock	05/15/2017			Code V F	Amount 133 (1)	(D) D	Price \$ 0.65	19,973	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed	(Month/Day/Year) rivative curities quired) or		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans	
				of (D) (Instr. 3, 4, and 5)						(Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting o wher Fund / Fundess	Director	10% Owner	Officer	Other			
Brooks Jayme L. 21211 NORDHOFF STREET CHATSWORTH, CA 91311			CFO & CAO				
Signatures							
Jayme Brooks, Reporting Person	05	5/17/2017					

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were withheld pursuant to the prior authorization of the Reporting Person to cover the tax liability resulting from the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.