

TAURIGA SCIENCES, INC.
Form 3
July 13, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|--|---|---|--|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Lacey Kevin Patrick</p> <p>(Last) (First) (Middle)</p> <p>C/O TAURIGA SCIENCES, INC., Â 39 OLD RIDGEBURY ROAD</p> <p>(Street)</p> <p>DANBURY, Â CT Â 06180</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>07/05/2017</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>TAURIGA SCIENCES, INC. [TAUG]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Chief Financial Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> |
| | | | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p> | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 20,000,000 ⁽¹⁾ | D | Â |
| Common Stock | 3,000,000 ⁽²⁾ | I | See footnote ⁽²⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---------------------------|----------------------|--|
|---|---|--|---------------------------|----------------------|--|

| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) |
|------------------|-----------------|-------|----------------------------|------------------------------|---|
| | | | | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Lacey Kevin Patrick C/O TAURIGA SCIENCES, INC. 39 OLD RIDGEBURY ROAD DANBURY, CT 06180 | ^ | ^ | ^ Chief Financial Officer | ^ |

Signatures

/s/ Kevin P. Lacey
07/13/2017

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Registrant has agreed to issue the Reporting Person 20,000,000 of shares of Common Stock in partial consideration of the Reporting Person's service as the Registrant's Chief Financial Officer. These shares have not been issued as of the date hereof. All 20,000,000 shares of Common Stock will be "restricted securities" as defined by Rule 144 promulgated under the Securities Act of 1933, as amended.
- (2) These 3,000,000 shares of Common Stock were previously issued to Mariner Consulting Group, Inc. for accounting services previously provided to the Registrant. The Reporting Person is the sole shareholder and has voting control of Mariner Consulting Group, Inc. All 3,000,000 shares of Common Stock are "restricted securities" as defined by Rule 144 promulgated under the Securities Act of 1933, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.