#### BENNION RICHARD W H

Form 4

February 02, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

Form filed by More than One Reporting

Person

January 31, 2005

0.5

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading BENNION RICHARD W H Issuer Symbol HomeStreet, Inc. [HMST] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify \_X\_\_ Officer (give title 601 UNION STREET, SUITE 2000 02/01/2018 below) below) EVP - Res. Lending Director (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

#### SEATTLE, WA 98101

|                                      |   |   |  |   |       |            | 1 CISOII   |  |   |  |  |  |
|--------------------------------------|---|---|--|---|-------|------------|--|--|---|--|--|--|
| (City)                               | (State) Table I - Non-Derivative Securities A |   |  |   |       |            | acquired, Disposed of, or Beneficially Owned   |  |   |  |  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year)          | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Dis<br>(D)<br>(Instr. 3, 4 | spose | d of       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)         |  |  |  |
| Common<br>Stock                      | 02/01/2018                                    |   | S                                      | 275 (1)   | D     | \$<br>29.8 | 22,183   | I  | Bennion<br>Revocable<br>Living Trust<br>dated<br>12/19/02 (2) |  |  |  |
| Common<br>Stock                      |   |   |  |   |       |            | 10,070.4   | D  |   |  |  |  |
| Common<br>Stock                      |   |   |  |   |       |            | 0.055  | I  | HomeStreet,<br>Inc. 401(k)<br>Savings Plan                    |  |  |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** 

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.                              | 3. Transaction Date | 3A. Deemed              | 4.                | 5.                  | 6. Date Exerc   | cisable and     | 7. Titl          | le and | 8. Price of |   |
|-------------|---------------------------------|---------------------|-------------------------|-------------------|---------------------|-----------------|-----------------|------------------|--------|-------------|---|
| Derivative  | Conversion or Exercise Price of | (Month/Day/Year)    | Execution Date, if      | TransactionNumber |                     | Expiration Date |                 | Amou             | int of | Derivative  |   |
| Security    |                                 |                     | any<br>(Month/Day/Year) | Code              | of                  | (Month/Day/     | Month/Day/Year) |                  | rlying | Security    |   |
| (Instr. 3)  |                                 |                     |                         | (Instr. 8)        | Derivativ           |                 |                 | Securities       |        | (Instr. 5)  | 1 |
|             | Derivative                      |                     |                         |                   | Securities          | 3               |                 | (Instr. 3 and 4) |        |             |   |
|             | Security                        |                     |                         |                   | Acquired            |                 |                 |                  |        |             | 1 |
|             | ·                               |                     |                         |                   | (A) or              |                 |                 |                  |        |             | 1 |
|             |                                 |                     |                         |                   | Disposed            |                 |                 |                  |        |             |   |
|             |                                 |                     |                         |                   | of (D)              |                 |                 |                  |        |             | , |
|             |                                 |                     |                         |                   | (Instr. 3,          |                 |                 |                  |        |             |   |
|             |                                 |                     |                         |                   | 4, and 5)           |                 |                 |                  |        |             |   |
|             |                                 |                     |                         |                   |                     |                 |                 |                  |        |             |   |
|             |                                 |                     |                         |                   |                     |                 |                 |                  | Amount |             |   |
|             |                                 |                     |                         |                   |                     | Date            | Expiration      |                  | or     |             |   |
|             |                                 |                     |                         |                   |                     |                 | Date            | Title            | Number |             |   |
|             |                                 |                     |                         |                   |                     | LACICISABIC     | Duic            |                  | of     |             |   |
|             |                                 |                     |                         | Code V            | $^{\prime}$ (A) (D) |                 |                 |                  | Shares |             |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BENNION RICHARD W H 601 UNION STREET, SUITE 2000 SEATTLE, WA 98101

EVP - Res. Lending Director

### **Signatures**

/s/ Donna M. Cochener, Attorney in fact for Richard W.H. Bennion

02/02/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold to cover reporting person's tax liability in connection with the vesting of Restricted Stock Units on January 26, 2018, January 28, 2018 and January 29, 2018.
- (2) Mr. Bennion is the co-trustee with Diane Bennion for the Bennion Revocable Living Trust dated 12/19/02.
- (3) Participants in HomeStreet, Inc.'s 401(k) Savings Plan, or the "401(k) Plan", have the authority to direct voting of shares they hold through the 401(k) Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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