COLUMBUS MCKINNON CORP

Form 4 May 29, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

PARKWAY

1. Name and Address of Reporting Person * Woon Eric

(First)

140 JOHN JAMES AUDUBON

2. Issuer Name and Ticker or Trading

Symbol

COLUMBUS MCKINNON CORP [CMCO]

3. Date of Earliest Transaction

(Middle)

(Month/Day/Year) 05/27/2014

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X_ Officer (give title Other (specify below)

Vice President - APAC

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

AMHERST,	NY	14228

(City)	(State)	(Zip) Tabl	le I - Non-D	D erivative	Secur	rities Acqu	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	(A)	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock					(-)		12,379 (1)	D	
Common Stock	05/27/2014		M	4,986	A	\$ 14.8	17,365 <u>(1)</u>	D	
Common Stock	05/27/2014		S	4,986	D	\$ 30.13	12,379 (1)	D	
Common Stock	05/28/2014		M	14	A	\$ 14.8	12,393 (1)	D	
Common Stock	05/28/2014		S	14	D	\$ 29.49	12,379 (1)	D	

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Common Stock	05/28/2014	M	1,078	A	\$ 18.24	13,457 (1)	D
Common Stock	05/28/2014	S	1,078	D	\$ 29.49	12,379 (1)	D
Common Stock	05/28/2014	M	1,167	A	\$ 19.5	13,546 (1)	D
Common Stock	05/28/2014	S	1,167	D	\$ 29.49	12,379 (1)	D
Common Stock	05/28/2014	M	2,069	A	\$ 13.43	14,448 (1)	D
Common Stock	05/28/2014	S	2,069	D	\$ 29.49	12,379 (1)	D
Common Stock	05/28/2014	M	1,160	A	\$ 18.95	13,539 (1)	D
Common Stock	05/28/2014	S	1,160	D	\$ 29.49	12,379 (1)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number out of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Dat	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amour Securit 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Options (Right to Buy)	\$ 14.8	05/27/2014		M	4,986	01/25/2013	01/24/2020	Common Stock	4,9
Non-Qualified Stock Options (Right to Buy)	\$ 14.8	05/28/2014		M	14	01/25/2013	01/24/2020	Common Stock	14
	\$ 18.24	05/28/2014		M	1,078	05/17/2011	05/16/2020		1,0

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Non-Qualified Stock Options (Right to Buy)							Common Stock	
Non-Qualified Stock Options (Right to Buy)	\$ 19.5	05/28/2014	M	1,167	05/23/2012	05/22/2021	Common Stock	1,1
Non-Qualified Stock Options (Right to Buy)	\$ 13.43	05/28/2014	M	2,069	05/21/2013	05/20/2022	Common Stock	2,0
Non-Qualified Stock Options (Right to Buy)	\$ 18.95	05/28/2014	M	1,160	05/20/2014	05/20/2023	Common Stock	1,1 <u>(7</u>

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Woon Eric 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

Vice President - APAC

Signatures

Eric Woon 05/29/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 6,326 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 309 shares become fully vested and non-forfeitable on 5/23/2015, 1,376 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015,

- (1) 2,413 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, and the remaining 2,946 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer. Also includes 1,450 shares that become fully vested and non-forfeitable on 5/23/2014 and 1,414 shares that become fully vested and non-forfeitable on 5/20/2016.
- Originally granted 10,000 options. The reporting person exercised 4,986 options on 5/27/2014. 14 options are excerisable, subject to IRS limitations, and the remaining 3,333 options become exercisable on 1/25/2015, if reporting person remain an employee of issuer.
- (3) The reporting person exercised 14 options on 5/28/2014. The remaining 3,333 options become exercisable on 1/25/2015, if reporting person remains an employee of issuer.
- (4) Originally granted 1,724 options. The reporting person exercised 543 options on 11/19/2013, 103 options on 11/26/2013 and 1,078 options on 5/28/2014.
- Originally granted 2,334 options. The reporting person exercised 583 options on 11/26/2013 and 1,167 options on 5/28/2014. The remaining 584 options become exercisable on 5/23/2015, if reporting person remains an employee of issuer.
- Originally granted 5,517 options. The reporting person exercised 689 options on 11/26/2013 and 2,069 options on 5/28/2014. The

 (6) remaining 2,759 options become exercisable 50% per year for two years beginning 5/21/2015, if reporting person remains an employee of issuer.

(7)

Reporting Owners 3

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Originally granted 4,970 options. The reporting person exercised 1,160 options on 5/28/2014. The remaining 3,810 options become exercisable 33.33% per year for three years beginning 5/20/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.