

BECTON DICKINSON & CO
Form 5
November 12, 2014

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
BECTON HENRY P JR

2. Issuer Name and Ticker or Trading Symbol
BECTON DICKINSON & CO
[BDX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
10/11/2013

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O BECTON, DICKINSON AND COMPANY, 1 BECTON DRIVE

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

FRANKLIN LAKES, NJ 07417

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	10/11/2013	Â	G	150	D	\$ 0	102,663	I	See footnote (1)
Common Stock	12/11/2013	Â	G	895	D	\$ 0	101,768	I	See footnote (1)
Common Stock	01/30/2014	Â	G	10,845	A	\$ 0	112,613	I	See footnote

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Common Stock	05/06/2014	Â	G	240	D	\$ 0	112,373	I	<u>(1)</u> See footnote <u>(1)</u>
Common Stock	07/15/2014	Â	G	75,213	A	\$ 0	75,213	I	See footnote <u>(2)</u>
Common Stock	12/05/2013	Â	G	16,700	A	\$ 0	60,000	I	See footnote <u>(3)</u>
Common Stock	12/23/2013	Â	G	12,157	A	\$ 0	72,157	I	See footnote <u>(3)</u>
Common Stock	04/15/2014	Â	G	13,118	A	\$ 0	85,275	I	See footnote <u>(3)</u>
Common Stock	07/15/2014	Â	G	85,275	D	\$ 0	0	I	See footnote <u>(3)</u>
Common Stock	12/05/2013	Â	G	130,000	A	\$ 0	189,000	I	See footnote <u>(4)</u>
Common Stock	04/15/2014	Â	G	101,790	A	\$ 0	290,790	I	See footnote <u>(4)</u>
Common Stock	07/15/2014	Â	G	290,790	D	\$ 0	0	I	See footnote <u>(4)</u>
Common Stock	02/19/2014	Â	G	700	D	\$ 0	108,312	I	See footnote <u>(5)</u>
Common Stock	05/06/2014	Â	G	240	A	\$ 0	108,552	I	See footnote <u>(5)</u>
Common Stock	01/30/2014	Â	G	10,845	D	\$ 0	1,186	I	By GRAT
Common Stock	08/29/2014	Â	G	1,186	D	\$ 0	0	I	By GRAT
Common Stock	12/05/2013	Â	G	65	D	\$ 0	11,175	I	See footnote <u>(6)</u>
Common Stock	Â	Â	Â	Â	Â	Â	37,166	I	By wife <u>(7)</u>

Common Stock	Â	Â	Â	Â	Â	Â	17,000	I	See footnote (8)
Common Stock	Â	Â	Â	Â	Â	Â	26,192 ⁽⁹⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E I S F I (I
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

BECTON HENRY P JR
C/O BECTON, DICKINSON AND COMPANY
1 BECTON DRIVE
FRANKLIN LAKES, NJ 07417

Â X Â Â Â

Signatures

Richard Stout, by power of attorney for Henry P. Becton, Jr.

11/12/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Held in trust and/or limited liability company for benefit of reporting person.

(2) Represents shares held in trust of which reporting person is a beneficiary and trustee.

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- (3) Represents shares held in testamentary trusts of which reporting person is a beneficiary and co-trustee.
- (4) Represents shares held in trust of which reporting person is a beneficiary and a co-trustee.
- (5) Held by trusts for benefit of reporting person's children, with wife as co-trustee. The reporting person disclaims beneficial ownership of these shares.
- (6) By trust for benefit of reporting person and his siblings, of which he is a co-trustee.
- (7) Represents shares held in trust for benefit of spouse and shares held directly by spouse.
- (8) Represents shares held in trust for benefit of reporting person's wife and descendants of which wife is a co-trustee. The reporting person disclaims beneficial ownership of these shares.
- (9) Includes restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.

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