

LANDY EUGENE W  
Form 4  
January 04, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LANDY EUGENE W

2. Issuer Name and Ticker or Trading Symbol  
MONMOUTH REAL ESTATE INVESTMENT CORP [MNR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
3499 RT. 9 NORTH, SUITE 3D  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
01/03/2019

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman of the Board

FREEHOLD, NJ 07728

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
MNR Common Stock	01/03/2019		M		25,000 (1)	A	\$ 8.72	878,638.738	D	
MNR Common Stock								179,405	I	Eugene W. Landy and Gloria Landy Family Foundation
MNR Common Stock								39,361.087	I	Juniper Plaza Associates

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MNR Common Stock	29,527.275	I	Windsor Industrial Park Associates
MNR Common Stock	97,913.57	I	Spouse
MNR Common Stock	13,048	I	Landy Investments, Ltd.
MNR Common Stock	184,293.62	I	Landy & Landy Employees' Pension Plan
MNR Common Stock	217,426.819	I	Landy & Landy Employees' Profit Sharing Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Qualified Stock Option to Purchase Common Stock	\$ 8.72	01/03/2019		M	25,000	01/03/2012	01/03/2019	MNR Common Stock
Qualified Stock Option to Purchase Common Stock	\$ 8.72	01/03/2019		<u>D</u> <sup>(2)</sup>	40,000	01/03/2012	01/03/2019	MNR Common Stock

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Stock

Non-Qualified  
Stock Option  
to Purchase  
Common  
Stock

\$ 8.72

01/03/2019

A<sup>(2)</sup>

40,000

01/03/2019 02/28/2019

MNR  
Common  
Stock

Qualified  
Stock Option  
to Purchase  
Common  
Stock

\$ 17.8

01/03/2019 01/03/2026

MNR  
Common  
Stock

Qualified  
Stock Option  
to Purchase  
MNR  
Common  
Stock

\$ 15.04

01/04/2018 01/04/2025

MNR  
Common  
Stock

Qualified  
Stock Option  
to Purchase  
MNR  
Common  
Stock

\$ 10.37

01/05/2017 01/05/2024

MNR  
Common  
Stock

Qualified  
Stock Option  
to Purchase  
MNR  
Common  
Stock

\$ 11.16

01/05/2016 01/05/2023

MNR  
Common  
Stock

Qualified  
Stock Option  
to Purchase  
Common  
Stock

\$ 8.94

01/03/2015 01/03/2022

MNR  
Common  
Stock

Qualified  
Stock Option  
to Purchase  
Common  
Stock

\$ 10.46

01/03/2014 01/03/2021

MNR  
Common  
Stock

Qualified  
Stock Option  
to Purchase  
Common  
Stock

\$ 9.33

01/03/2013 01/03/2020

MNR  
Common  
Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LANDY EUGENE W 3499 RT. 9 NORTH SUITE 3D FREEHOLD, NJ 07728	X		Chairman of the Board	

## Signatures

Eugene W. Landy	01/04/2019
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Partial Exercise of Stock Option.

The two reported transactions involved an amendment of an outstanding option to extend the expiration date from January 3, 2019 to

- (2) February 28, 2019, resulting in the deemed cancellation of the "old" option and the grant of a replacement option. The option was originally granted on January 3, 2011 and became exercisable in full on January 3, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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