## Edgar Filing: CAPSTONE TURBINE Corp - Form 4

CAPSTONE Form 4 April 14, 201	TURBINE Cor	р									
FORM	ГЛ									PPROVAL	
	UNITED	STATES		ITIES A hington,			IGE (	COMMISSION	OMB Number:	3235-0287	
Check thi if no long	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires:	January 31, 2005			
subject to Section 1 Form 4 of							burden hou	Estimated average burden hours per			
Form 5							response 0.5				
obligation may cont <i>See</i> Instru 1(b).	ns Section 17	(a) of the		ility Hold	ling Com	pany	Act of	f 1935 or Sectio	n		
(Print or Type R	Responses)										
Brooks Jayme L. Symbol CAPST (Last) (First) (Middle) 3. Date of (Month/E 21211 NORDHOFF STREET 04/12/2 (Street) 4. If Ame			Symbol	<ol> <li>Issuer Name and Ticker or Trading</li> <li>Symbol</li> <li>CAPSTONE TURBINE Corp [cpst]</li> <li>Date of Earliest Transaction</li> </ol>				5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable)			
			(Month/Day/Year) 04/12/2015					Director       10% Owner         Officer (give title       Other (specify below)         below)       below)         CFO & CAO & VP of Finance			
				f Amendment, Date Original ed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>			
CHATSWO	RTH, CA 9131	1							More than One Re		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Executio any	med on Date, if Day/Year)	Code	on(A) or Dis (D)	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
G				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	04/12/2015			А	40,000	А	\$0	156,554	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right-to-Buy)	\$ 0.64	04/12/2015		А	107,700	04/12/2015(1)	04/12/2025	Common Stock

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Brooks Jayme L. 21211 NORDHOFF STREET CHATSWORTH, CA 91311			CFO & CAO & VP of Finance					
Signatures								

Jayme Brooks, Reporting 04/14/2015 Person

<u>\*\*</u>Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 25% of the options vest on this date; and monthly thereafter on a pro rata basis over the next 36 months.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.