Mumford Lisa

Form 3

COMM S Washington, D.C. 20549 OMB Summer: 2235-0144 INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES Image: Security 31, 2005 Security 32, 2005 Security 34, 2005 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting Person; 2. Date of Event Requiring Statement 3. Issuer Name and Ticker or Trading Symbol Ellington Financial LLC [EFC] 5. If Amendment, Date Original Filed/Mont/DayYCari (Law) (Wind) 08/06/2018 4. Relationship of Reporting (Care of GREENWICH, A CTA 06870 5. If Amendment, Date Original Filed/Mont/DayYCari (Care of Security (Instr. 4) (Zip) Table 1 - Non-Derivative Securities Beneficially Owned (Instr. 4) 6. Individual or Joint/Group Person (Care of information contained in this form are not information contained in this form are no	August 09, 2018	IINITE	'D стат	FS SECUDI	TIFS AN	DEVCHA	NCE COM	AISSION			
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Exercisable

Date

Title

Direct (D)

or Indirect

(Instr. 5)

(I)

Security

Amount or

Number of

Shares

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LTIP Units (1)	(<u>1)</u>	(1)	Common shares representing ltd liability company interests	61,497	\$ <u>(1)</u>	D	Â
			interests				

Reporting Owners

Reporting Owner Name / Address	Relationships					
Toporong o when I take / I take of	Director	10% Owner	Officer	Other		
Mumford Lisa 53 FOREST AVE GREENWICH, CT 06870	ÂX	Â	Â	Â		
Signatures						
/s/ Jason Frank, as attorney-in-fact for Lisa 08/09/20 Mumford						
<u>**</u> Signature of Reporting F		Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents a separate non-voting class of limited liability company interests ("LTIP Units") of the Issuer, which are structured as profits interests. 5,887 of the LTIP Units will vest on December 12, 2018, 5,583 of the LTIP Units will vest on December 13, 2018, and 5,886 of the LTIP units will vest on December 12, 2019. The LTIP Units may be converted at the election of the holder, into common shares
(1)

(1) The DTH units will vestor becomer 12, 2017. The DTH office and one-for-one basis. 11,773 of the LTIP Units were issued pursuant to, and are subject to the terms and conditions of, the Issuer's 2017 Equity Incentive Plan. The remaining LTIP Units were issued pursuant to, and are subject to the terms and conditions of, the Issuer's 2007 Incentive Plan for Individuals.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.