### Edgar Filing: AMERICAN POWER GROUP Corp - Form 3

#### AMERICAN POWER GROUP Corp

Form 3 July 31, 2015

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AMERICAN POWER GROUP Corp [APGI] Arrow, LLC (Month/Day/Year) 07/21/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2747 PARADISE ROAD, SUITE (Check all applicable) 3604 (Street) 6. Individual or Joint/Group \_X\_\_ 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person LAS VEGAS, NVÂ 89109 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock, par value \$.01 per share 606,099 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Series B 10% Convertible Preferred Stock, par value \$1.00	05/28/2015	11/28/2020	Common Stock, par value \$.01 per share	5,000,000	\$ 0.5	D	Â
10% Convertible Preferred Stock, par value \$1.00 per share	(1)	(1)	Common Stock, par value \$.01 per share	510,210	\$ 0.4	D	Â
Common Stock Warrants (right to buy)	10/30/2012	05/30/2020	Common Stock, par value \$.01 per share	1,540,830	\$ 0.4	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
coporting of material and a constant	Director	10% Owner	Officer	Other		
Arrow, LLC 2747 PARADISE ROAD, SUITE 3604 LAS VEGAS, NV 89109	Â	ÂX	Â	Â		

# **Signatures**

Matthew Van
Steenwyk

\*\*Signature of Reporting Person

O7/31/2015

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 10% Convertible Preferred Stock ("Preferred Stock") has no expiration date and is convertible at any time at the respective holder's election.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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