CAPSTONE TURBINE Corp

Form 4

September 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person *LOTAN NOAM | | | 2. Issuer Name and Ticker or Trading Symbol CARSTONE TURBLINE Complement | 5. Relationship of Reporting Person(s) to Issuer | | |
|---|-----------|----------|--|---|--|--|
| (Last) | (First) | (Middle) | CAPSTONE TURBINE Corp [cpst] 3. Date of Earliest Transaction | (Check all applicable) | | |
| 21211 NORDH | OFF STRE | EET | (Month/Day/Year) 08/31/2016 | _X Director 10% Owner Officer (give title Other (specification) | | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | |
| CHATSWORT | H, CA 913 | 11 | | Form filed by More than One Reporting Person | | |

| (City) | (State) (A | Table Table | I - Non-Do | erivative S | ecuritie | es Acq | uired, Disposed o | f, or Beneficial | ly Owned |
|-----------------|---------------------|--------------------|------------|--------------|-----------|--------|-------------------|------------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securiti | ies Acqı | uired | 5. Amount of | 6. Ownership | 7. Nature of |
| Security | (Month/Day/Year) | Execution Date, if | Transactio | n(A) or Dis | sposed o | of | Securities | Form: Direct | Indirect |
| (Instr. 3) | | any | Code | (D) | | | Beneficially | (D) or | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) | (Instr. 3, 4 | and 5) | | Owned | Indirect (I) | Ownership |
| | | | | | | | Following | (Instr. 4) | (Instr. 4) |
| | | | | | (4) | | Reported | | |
| | | | | | (A) | | Transaction(s) | | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Common Stock | 08/31/2016 | | A | 27,777 | A | \$ 0 | 58,031 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | ve Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. orNumber of Derivativ Securitie Acquired (A) or Disposed of (D) (Instr. 3, | (Month/Day, /e s l | ate | 7. Title Amount Underly Securiti (Instr. 3 | t of ying ies | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|--|---------------------------|--------------------------------------|--------------------------------------|---|-----------------------------|--------------------|--|--|--|---|
| | | | Code V | 4, and 5) (A) (D) | | Expiration Date | 0 N 0 | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| LOTAN NOAM | | | | | | | |
| 21211 NORDHOFF STREET | X | | | | | | |
| CHATSWORTH, CA 91311 | | | | | | | |

Signatures

Clarice Hovsepian, Power of Attorney for Noam Lotan, Reporting
Person

09/01/2016

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

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