

Edgar Filing: HARVARD BIOSCIENCE INC - Form SC 13G/A

HARVARD BIOSCIENCE INC
Form SC 13G/A
February 11, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

SCHEDULE 13G

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO
(AMENDMENT NO. 1) (1)

HARVARD BIOSCIENCE, INC.

(Name of issuer)

COMMON STOCK, PAR VALUE \$.01 PER SHARE

(Title of class of securities)

416906 10 5

(CUSIP number)

DECEMBER 31, 2001

(Date of event which requires filing of this statement)

CHECK THE APPROPRIATE BOX TO DESIGNATE THE RULE PURSUANT TO WHICH THIS SCHEDULE IS FILED:

- Rule 13d-1(b)
 Rule 13d-1(c)
 Rule 13d-1(d)

(Continued on the following pages)

(1) The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

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The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

Page 1 of 5

SCHEDULE 13G

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CUSIP NO.	416906 10 5	PAGE 2 OF	5 PAGES

1	NAME OF REPORTING PERSONS	DAVID GREEN	
	S.S. OR I.R.S IDENTIFICATION NOS. OF ABOVE PERSONS		
		S.S.N. 547-81-3161	
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*	(A)	<input type="checkbox"/>
		(B)	<input type="checkbox"/>
3	SEC USE ONLY		
4	CITIZENSHIP OR PLACE OF ORGANIZATION		
		THE COMMONWEALTH OF MASSACHUSETTS, U.S.A.	
	NUMBER OF	5	SOLE VOTING POWER
	SHARES		2,600,936
	BENEFICIALLY	6	SHARED VOTING POWER
	OWNED BY EACH		NONE
	REPORTING	7	SOLE DISPOSITIVE POWER
	PERSON		2,600,936
	WITH	8	SHARED DISPOSITIVE POWER
			NONE
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
		2,600,936 SHARES OF COMMON STOCK	
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*	<input type="checkbox"/>	
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9		
		9.7%	
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12 TYPE OF REPORTING PERSON*

IN

Page 2 of 5

STATEMENT ON SCHEDULE 13G

ITEM 1.

(a) Name of Issuer

HARVARD BIOSCIENCE, INC.

(b) Address of Issuer's Principal Executive Offices

84 OCTOBER HILL ROAD
HOLLISTON, MASSACHUSETTS 01746

ITEM 2.

(a) Name of Person Filing

DAVID GREEN

(b) Address of Principal Business Office or, if none, Residence

84 OCTOBER HILL ROAD
HOLLISTON, MASSACHUSETTS 01746

(c) Citizenship

THE COMMONWEALTH OF MASSACHUSETTS, U.S.A.

(d) Title of Class of Securities

COMMON STOCK, PAR VALUE \$.01 PER SHARE

(e) CUSIP Number

416906 10 5

ITEM 3. IF THIS STATEMENT IS FILED PURSUANT TO SECTIONS 240.13d-1(b) OR 240.13d-2(b) OR (c), CHECK WHETHER THE PERSON FILING IS A:

NOT APPLICABLE

(a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).

(b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).

(c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).

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- (d) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
- (e) An investment adviser in accordance with Sections 240.13d-1(b) (1) (ii) (E); (a)

Page 3 of 5

STATEMENT ON SCHEDULE 13G

- (f) An employee benefit plan or endowment fund in accordance with Sections 240.13d-1(b) (1) (ii) (F);
- (g) A parent holding company or control person in accordance with Sections 240.13d-1(b) (1) (ii) (G);
- (h) A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) A church plan that is excluded from the definition of an investment company under section 3(c) (14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) Group, in accordance with Sections 240.13d-1(b) (1) (ii) (J).

ITEM 4. OWNERSHIP

- (a) Amount beneficially owned: 2,600,936
- (b) Percent of class: 9.7%
- (c) Number of shares as to which the person has:
- (i) Sole power to vote or to direct the vote: 2,600,936
 - (ii) Shared power to vote to direct the vote: NONE
 - (iii) Sole power to dispose or to direct the disposition of: 2,600,936
 - (iv) Shared power to dispose or to direct the disposition of: NONE

ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS

NOT APPLICABLE

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following .

ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

NOT APPLICABLE

ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY.

NOT APPLICABLE

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ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP

NOT APPLICABLE

Page 4 of 5

STATEMENT ON SCHEDULE 13G

ITEM 9. NOTICE OF DISSOLUTION OF GROUP

NOT APPLICABLE

ITEM 10. CERTIFICATION

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

FEBRUARY 7, 2002

Date

/S/ DAVID GREEN

Signature

DAVID GREEN

Name/Title

Page 5 of 5

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. **Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned
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Security

Acquired
(A) or
Disposed
of (D)
(Instr. 3,
4, and 5)

Follo
Repo
Trans
(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Escherich Frederic A THE ADAMS EXPRESS COMPANY 7 SAINT PAUL STREET BALTIMORE, MD 21202	X			

Signatures

Frederic A.
Escherich

03/23/2011

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares were granted as restricted stock units which will vest one year from the date of grant along with dividend equivalents, unless
- (1) the reporting person elects to defer the vesting date. The restricted stock units and the dividend equivalents will be issued in shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.