# Edgar Filing: CTS CORP - Form 144

CTS CORP Form 144 August 30, 2016

Form UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 144
SEC USE ONLY
DOCUMENT SEQUENCE NO.
CUSIP NUMBER
WORK LOCATION

## NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to ATTENTION: execute sale

or executing a sale directly with a market maker.

SEC USE

1 (a) NAME OF ISSUER (Please type or print)

CTS Corporation

(b) IRS
IDENT.
NO.
NO.
001-04639

1 (d) ADDRESS OF ISSUER STREET CITY STATE ZIP CODE

TELEPHONE

NO.

(g)

(e)

2375 Cabot Drive, Lisle, IL 60532

(b)

3 (a)

630 577-8800

2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE
SECURITIES ARE TO BE SOLD
CTS Corporation Pension Plan

(b) (c) ADDRESS STREET CITY
RELATIONSHIBTATE ZIP CODE
TO ISSUER 2375 Cabot Drive, Lisle, IL
Affiliate (1) 60532

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

(d)

(e)

(f)

(c)

( ( )		ONLY	(-)	(-)	(-)	(-)	(8)
Title of the Class of Securities To Be Sold	Name and Address of Each Broker Through Whom the Securities are to be Offered or Each Market Maker who is Acquiring the Securities	Broker-Dealer File Number	Number of Shares or Other Units To Be Sold (See instr. 3(c))	Aggregate Market Value (See instr. 3(d))	Number of Shares or Other Units Outstanding (See instr. 3(e))	Approximate Date of Sale (See instr. 3(f)) (MO. DAY YR.)	Name of Each Securities Exchange (See instr. 3(g))
Common Stock, without par value	Sidoti & Company, LLC 122 E 42nd Street New York, New York 10168		155,000	3,003,900 (2)	32,758,961 (3)	(4)	New York Stock Exchange

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### **INSTRUCTIONS:**

- 1. (a) Name of issuer
- (b) Issuer's I.R.S. Identification Number
- (c) Issuer's S.E.C. file number, if
- (d) Issuer's address, including zip code
- (e) Issuer's telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
- (b) Such person's relationship to the issuer (e.g., officer, director, 10%
- stockholder, or member of immediate family of any of the foregoing)
- (c) Such person's address, including zip code

- 3. (a) Title of the class of securities to be sold
- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
- (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1147 (08-07)

NAI-1500634266v3

#### TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefore:

Title odDate you tlAecquired Class	Nature of Acquisition Transactions	Name of Person from Whom Acquired (If gift, also give date donor acquired)  Amount of Securities Acquired	Date of Payment	Nature of Payment
Common				
Stock,		CTS		
w(Mahout	(5)	Corporation 93,900	(5)	(5)
par		(5)		
value				

INSTRUCTIONS: If the securities were purchased and full payment therefore was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

### TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller Title of Securities Sold Date of Sale Securities Sold CTS Corporation Pension Plan CTS Corporation Various 162,500 \$3,008,652 REMARKS:

- (1) Ashish Agrawal, the Vice President and Chief Financial Officer of CTS Corporation (the "Issuer"), serves as the Named Administrator of the CTS Corporation Pension Plan (the "Plan").
- (2) Based on a sale price of \$19.38 per share, which was the closing price of the common stock on the New York Stock Exchange on August 29, 2016.
- (3) Based on shares outstanding as of July 27, 2016, as reported on the Issuer's Form 10-Q for the fiscal quarter ended June 30, 2016.
- (4) The proposed transaction will be completed pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 25, 2015.
- (5) Common stock was acquired by the Plan directly from the Issuer throughout the life of the Plan.

### INSTRUCTIONS: ATTENTION:

See the definition of "person" The person for whose account the securities to which this notice relates are to be sold paragraph (a) of Rule 144. hereby represents by signing this notice that he does not know any material adverse Information is to be given not information in regard to the current and prospective operations of the Issuer of the only as to securities to be sold which has not been publicly disclosed. If such person has adopted a

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written trading plan or given trading instructions to satisfy Rule 10b5-1 under the the person for whose account Exchange Act, by signing the form and indicating the date that the plan was adopted or the securities are to be sold the instruction given, that person makes such representation as of the plan adoption or but also as to all other persons instruction date.

in that definition. In addition, information shall be given as to sales by all persons whose sales are

required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

8/30/2016 \*\*\*/s/ Ashish Agrawal, as Named Administrator of the Plan

DATE OF NOTICE (SIGNATURE)

11/30/2015 The notice shall be signed by the person for whose account the securities

are to be sold. At least one copy

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

IF RELYING ON RULE 10B5-1

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08) NAI-1500634266v3