

Edgar Filing: TRIARC COMPANIES INC - Form 4

TRIARC COMPANIES INC

Form 4

November 08, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

(X) Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Barnes, John L.
31 Old Redding Road
Weston, CT 06883
USA

2. Issuer Name and Ticker or Trading Symbol

Triarc Companies, Inc.
TRY

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

October 31, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner () Officer (give title below) (X) Other
(specify below)

Former EVP and CFO

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | A/D | Price | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|----------|---------|---|-----|----------|--|
| Class A Common Stock | 10/31/01 | S | 1,000 | (D) | \$22.80 | |
| Class A Common Stock | 9/25/01 | M | 37,000 | (A) | \$16.875 | |
| Class A Common Stock | 9/15/01 | S | 37,000 | (D) | \$22.652 | |
| Class A Common Stock | 9/26/01 | M | 13,000 | (A) | \$16.875 | |
| Class A Common Stock | 9/26/01 | M | 5,000 | (A) | \$17.75 | |
| Class A Common Stock | 9/26/01 | S | 18,000 | (D) | \$22.647 | |
| Class A Common Stock | 9/27/01 | M | 8,600 | (A) | \$17.75 | |

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| | | | | | | | |
|----------------------|---------|---|--------|-----|----------|-------|--|
| Class A Common Stock | 9/27/01 | S | 8,600 | (D) | \$22.615 | | |
| Class A Common Stock | 9/28/01 | M | 36,400 | (A) | \$17.75 | | |
| Class A Common Stock | 9/28/01 | S | 36,400 | (D) | \$22.996 | 3,000 | |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Percentage of Total Shares Owned |
|---|--|---------------------|---------------------|--|--|--|-------------------------------------|
| Employee stock option (right to buy) with tandem tax withholding rights | \$16.875 | 9/25/01 | M | 37,000 | D (1) 3/15/09 | Class A Common Stock | 37,000 |
| Employee stock option (right to buy) with tandem tax withholding rights | \$16.875 | 9/26/01 | M | 13,000 | D (1) 3/15/09 | Class A Common Stock | 13,000 |
| Employee stock option (right to buy) with tandem tax withholding rights | \$17.75 | 9/26/01 | M | 5,000 | D (1) 12/22/09 | Class A Common Stock | 5,000 |
| Employee stock option (right to buy) with tandem tax withholding rights | \$17.75 | 9/27/01 | M | 8,600 | D (1) 12/22/09 | Class A Common Stock | 8,600 |
| Employee stock option (right to buy) with tandem tax withholding rights | \$17.75 | 9/28/01 | M | 36,400 | D (1) 12/22/09 | Class A Common Stock | 36,400 |

Explanation of Responses:

(1) The options became fully vested on June 30, 2001.

SIGNATURE OF REPORTING PERSON

JOHN L. BARNES, JR.

DATE

November 8, 2001