

COMMUNITY TRUST BANCORP INC /KY/
Form 4
October 22, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SPARKMAN RICKY D

2. Issuer Name and Ticker or Trading Symbol
COMMUNITY TRUST BANCORP INC /KY/ [CTBI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1218 E BROADWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/18/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Executive Vice President

CAMPBELLSVILLE, KY 42718
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) Code V Amount Price | | | |
| Common Stock | 10/18/2013 | | M | 3,964 A \$ 30.88 | 11,485.5263 | D | |
| Common Stock | 10/21/2013 | | S | 3,964 D \$ 42.5 | 7,521.5263 | D | |
| Common Stock | 10/18/2013 | | S | 1,502 D \$ 43.22 | 0 | I | By: IRA |
| Common Stock | | | | | 4,107.1395 | I | By: 401(k) |
| Common Stock | | | | | 4,813.4646 | I | By: ESOP |

Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| <u>Option (1)</u> | \$ 30.88 | 10/18/2013 | | M | 991 | 01/28/2006 01/28/2015 | Common Stock | 991 |
| <u>Option (1)</u> | \$ 30.88 | 10/18/2013 | | M | 991 | 01/28/2007 01/28/2015 | Common Stock | 991 |
| <u>Option (1)</u> | \$ 30.88 | 10/18/2013 | | M | 991 | 01/28/2008 01/28/2015 | Common Stock | 991 |
| <u>Option (1)</u> | \$ 30.88 | 10/18/2013 | | M | 991 | 01/28/2009 01/28/2015 | Common Stock | 991 |
| <u>Option (1)</u> | \$ 32.44 | | | | | 01/27/2007 01/27/2016 | Common Stock | 1,001.75 |
| <u>Option (1)</u> | \$ 32.44 | | | | | 01/27/2008 01/27/2016 | Common Stock | 1,001.75 |
| <u>Option (1)</u> | \$ 32.44 | | | | | 01/27/2009 01/27/2016 | Common Stock | 1,001.75 |
| <u>Option (1)</u> | \$ 32.44 | | | | | 01/27/2010 01/27/2016 | Common Stock | 1,001.75 |
| <u>Option (2)</u> | \$ 38.95 | | | | | 01/23/2008 01/23/2017 | Common Stock | 1,123.25 |
| <u>Option (2)</u> | \$ 38.95 | | | | | 01/23/2009 01/23/2017 | Common Stock | 1,123.25 |
| <u>Option (2)</u> | \$ 38.95 | | | | | 01/23/2010 01/23/2017 | Common Stock | 1,123.25 |
| <u>Option (2)</u> | \$ 38.95 | | | | | 01/23/2011 01/23/2017 | Common Stock | 1,123.25 |

| | | | | | |
|---------------|----------|------------|------------|-----------------|-------|
| Option (3) | \$ 28.32 | 01/29/2013 | 01/29/2018 | Common Stock | 3,750 |
|---------------|----------|------------|------------|-----------------|-------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| SPARKMAN RICKY D 1218 E BROADWAY CAMPBELLSVILLE, KY 42718 | | | Executive Vice President | |

Signatures

| | |
|---|------------|
| Ricky D. Sparkman By: Marilyn T. Justice, Attorney-in-Fact | 10/22/2013 |
| __Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 1998 Stock Option Plan).
- (2) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan).
- (3) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.