## Edgar Filing: NOVICH NEIL S - Form 4

NOVICU NEU C

| Form 4   |   |  |   |   |           |  |  |   |   |                         |  |
|--|---|--|---|---|-----------|--|--|---|---|-------------------------|--|
| March 14, 20   | ЛЛ                                      |  |   |   |           |  |  |   | -   | PPROVAL                 |  |
|  | UNITED                                  | STATES S   |   | ITIES A<br>nington,   |           |  | NGE  | COMMISSION  | OMB<br>Number:  | 3235-0287               |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or |   |  |   | ANGES IN BENEFICIAL OWNERSHIP O<br>SECURITIES                 |           |  |  |   | Lanuary 31,Expires:2005Estimated averageburden hours perresponse0.5 |                         |  |
| Form 5<br>obligatio<br>may com<br><i>See</i> Instr<br>1(b).              | tinue. Section 17(a                     | a) of the Pu   | blic Uti  |   | ling Con  | ipany  | Act of   | ge Act of 1934,<br>of 1935 or Sectio<br>940   | n   |                         |  |
| (Print or Type ]   | Responses)                              |  |   |   |           |  |  |   |   |                         |  |
| NOVICH NEIL S Symbol   |   |  | ymbol   | er Name <b>and</b> Ticker or Trading<br>.OG DEVICES INC [ADI] |           |  |  | 5. Relationship of Reporting Person(s) to Issuer  |   |                         |  |
| (Last)   | (First) (N                              |  | 3. Date of Earliest Transaction (C                          |   |           |  |  | (Chee   | ck all applicable)  |                         |  |
| P.O. BOX 9<br>TECHNOL  | 9106, ONE<br>OGY WAY                    | (1   | Month/Da<br>3/13/20   | y/Year)   |           |  |  | X_ Director<br>Officer (give<br>below)  |   | % Owner<br>her (specify |  |
|  | (Street)                                | 4. If Amendment, Date Original Filed(Month/Day/Year) |   |   |           |  |  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |   |                         |  |
| NORWOOD  | D, MA 02062-910                         | 6  |   |   |           |  |  |   | More than One R   |                         |  |
| (City)   | (State)                                 | Zip)   | Table   | I - Non-D   | erivative | Secur  | ities Ac   | equired, Disposed o   | f, or Beneficia   | lly Owned               |  |
| 1.Title of<br>Security<br>(Instr. 3)                                     | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution E<br>any<br>(Month/Day       | Date, if TransactionAcquired (A) or<br>Code Disposed of (D) |   | )         | Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                                       |   |                         |  |
| Comm<br>Stock -  |   |  |   | Code V  |           | (D)  | Price  | (Instr. 3 and 4)  |   |                         |  |
| \$.16-2/3<br>value   | 03/13/2019                              |  |   | М   | 2,155     | А  | \$0  | 24,895  | D   |                         |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|---------------------------------------|---|-------|--|--------------------|--|--|
|   |   |   |   | Code V                                | (A)   | (D)   | Date Exercisable   | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock Unit<br>(RSU)                   | \$ 0  | 03/13/2019                              |   | A                                     | 2,035   |       | (1)  | <u>(1)</u>         | Comm<br>Stock -<br>\$.16-2/3<br>value                                  | 2,035                                  |
| Restricted<br>Stock Unit<br>(RSU)                   | \$ 0  | 03/13/2019                              |   | М                                     |   | 2,155 | 03/13/2019 <u>(2)</u>  | (2)                | Comm<br>Stock -<br>\$.16-2/3<br>value                                  | 2,155                                  |

## **Reporting Owners**

| Reporting Owner Name / Address   |           | Relationsh    |          |       |            |  |  |
|--|-----------|---------------|----------|-------|------------|--|--|
|  | Director  | 10% Owner     | Officer  | Other |            |  |  |
| NOVICH NEIL S<br>P.O. BOX 9106<br>ONE TECHNOLOGY WAY<br>NORWOOD, MA 02062-9106 | Х         |               |          |       |            |  |  |
| Signatures   |           |               |          |       |            |  |  |
| /s/ Cynthia M. McMakin, Assis<br>Attorney                                      | tant Gene | eral Counsel, | , by Pow | er of | 03/14/2019 |  |  |
| **Signature of Reporting Person  |           |               |          |       |            |  |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This RSU vests 100.00% on the earlier of the date of the Company's next Annual Meeting of Shareholders, or March 13, 2020. Upon the (1) vesting date, each vested RSU shall automatically convert into one (1) share of common stock of the Company.
- In accordance with the terms of the grant, this RSU vested 100.00% on March 13, 2019, the date of the Company's 2019 Annual Meeting (2)of Shareholders. Upon the vesting date, each vested RSU automatically converted into one (1) share of common stock of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.