Edgar Filing: STOPKO JEFFREY A - Form 4

| STOPKO JJ Form 4 April 20, 20 | | | | | | | | | | | |
|--|--|---|---|--------------------|--|--|---|--|--|--|------|
| FORM | ЛЛ | | | | | | | | OMB A | PPROVAL | L |
| | UNITED | STATES | | RITIES A | | | NGE | COMMISSIO | N OMB Number: | 3235-0 |)287 |
| Check t if no lor subject Section Form 4 Form 5 obligation <i>See</i> Inst 1(b). | nger to 16. or Filed put ons stinue. | MENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ursuant to Section 16(a) of the Securities Exchange Act of 1934, (a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | Estimated burden hou response | imated average den hours per | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> STOPKO JEFFREY A | | | 2. Issuer Name and Ticker or Trading Symbol AMERISERV FINANCIAL INC /PA/ [ASRV] | | | | - | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) (| Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 03/12/2010 | | | | Director 10% Owner X Officer (give title 0ther (specify below) below) Exec. Vice President and CFO | | | | |
| | (Street) | (Street) 4. If Amer Filed(Mont | | | - | al | | Applicable Line) _X_ Form filed by | ed by One Reporting Person | | |
| PA | | | | | | | | Form filed by Person | More than One R | eporting | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Securi | ities A | cquired, Disposed | of, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution any | Date, if | Code (Instr. 8) | 4. Securit onAcquired Disposed (Instr. 3, Amount | (A) or of (D) 4 and 5 (A) or | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature (Indirect Beneficial Ownershij (Instr. 4) | l |
| Reminder: Re | port on a separate line | e for each cla | ass of sect | urities bene | Perso | ons wh nation | no res cont | or indirectly. spond to the colle ained in this form | | SEC 1474 (9-02) | |

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exercisable and | 7. Title and Amount of | 8. Prie |
|-------------|-------------|---------------------|--------------------|-----------|----------|-------------------------|------------------------|---------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration Date | Underlying Securities | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/Year) | (Instr. 3 and 4) | Secur |

Edgar Filing: STOPKO JEFFREY A - Form 4

| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | (Instr. | |
|---------------------------------------|------------------------------------|------------------|------------|---|-----|---------------------|--------------------|-----------------|--|--|
| | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Options (Right to Buy) | \$ 1.7 | | | | | 03/12/2011 | 03/12/2020 | Common Stock | 6,000 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| STOPKO JEFFREY A | | | | | | | |
| | | | Exec. Vice President and CFO | | | | |
| PA | | | | | | | |
| Signatures | | | | | | | |
| Sharon M. Callihan, Attorney-in-Fact | | 04/20/201 | 0 | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.