## Edgar Filing: STOPKO JEFFREY A - Form 4

STOPKO JE	FFREY A										
Form 4											
March 19, 20	)12										
FORM	4								OMB AF	PROVAL	
	UNITED	STATES		RITIES A shington,			ANGE C	OMMISSION	OMB Number:	3235-0287	
Check thi if no long	or								Expires:	January 31, 2005	
subject to Section 16. Form 4 or			F CHAN	GES IN BENEFICIAL OWNERSHIP OF				ERSHIP OF	Estimated average		
			SECURITIES					burden hours per			
Form 5		suant to S	Section 1	6(a) of th	e Securit	ties F	Fxchange	e Act of 1934,	response	0.5	
obligation	$^{18}$ Section 17(						-	1935 or Section	L		
may cont See Instru	inue.			vestment	•	-	•				
1(b).											
(Print or Type F	Responses)										
STOPKO JEFFREY A Symbol			er Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
				RISERV FINANCIAL INC ASRV]				(Check all applicable)			
(Last)	(Month/I			of Earliest Transaction Day/Year)				Director X Officer (give t below)		Owner r (specify	
			03/15/2012					Exec. Vice President and CFO			
	(Street) 4.		4. If Ame	endment, Da	ate Origina	1		6. Individual or Joint/Group Filing(Check			
		Filed(Mo			.)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
PA								Person	one than one req	Jorning	
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative	Secu	rities Acqu	iired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date any (Month/Day/		n Date, if	Code (Instr. 3, 4 and 5) (Instr. 8) (A)			d of (D)	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	03/15/2012			Code V $A^{(1)}$	Amount 6,586	or (D) A	Price \$ 2.6888	(Instr. 3 and 4) 30,353.467 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed

any

(Month/Day/Year)

			Disposed of (D) (Instr. 3, 4, and 5)				
		Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 2.75			03/15/2013	03/15/2022	Common Stock	11,403 ( <u>3</u> )

# **Reporting Owners**

1. Title of 2.

Security

(Instr. 3)

Derivative Conversion

or Exercise

Derivative

Price of

Security

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
STOPKO JEFFREY A							
		Exec. Vice President and CFO					
PA							
Signatures							
Sharon M. Callihan, Attorney-in-Fact		03/19/201	03/19/2012				
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee stock bonus purchased by the Company.
- (2) Mr. Stopko also owns 646.993 trust preferred securities.
- (3) These stock options shall vest in three equal annual installments on the first through third anniversaries of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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4.

Code

(Instr. 8)

Execution Date, if

(Month/Day/Year)

5.

of

Derivative

Securities

Acquired (A) or

TransactionNumber

6. Date Exercisable and

**Expiration Date** 

(Month/Day/Year)

7. Title and Amount of

Underlying Securities

(Instr. 3 and 4)

8. Pri

Deriv

Secu

(Instr