Edgar Filing: AMERISERV FINANCIAL INC /PA/ - Form 4

AMERISERV FINANCIAL INC /PA/

Form 4

August 21, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

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January 31, 2005

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OMB APPROVAL

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if no longer subject to Section 16. Form 4 or Form 5

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

08/15/2013

(Print or Type Responses)

1 Name and Address of Departing De

1. Name and Address of Reporting Person YOUNG GREGOR T IV				2. Issuer Name and Ticker or Trading Symbol AMERISERV FINANCIAL INC /PA/ [ASRV]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 216 FRANKLIN STREET			3. Date of Earliest Transaction (Month/Day/Year) 08/15/2013						Director 10% Owner Officer (give title Other (specify below) below) President & CEO of Trust Co.					
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
	JOHNSTO (City)	(State)	(Zip)	Т-1	de I. New	D.	4: 6	٠		Form filed by Mo Person				
	(- 3)	(,	(1)	Tan	oie i - Non	ı-De	rivative S	securiti	es Acqu	iired, Disposed of,	or Beneficiali	y Ownea		
	1.Title of Security (Instr. 3)	ity (Month/Day/Year) Execution Date		Date, if	Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				` ′	Securities Ownership Indirect Beneficially Form: Beneficia Owned Direct (D) Ownersh Following or Indirect (Instr. 4) Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)				
					Code V	I	Amount	(D)	Price					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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08/20/2013

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of			Amou Under Securi	ınt of rlying	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
					4, and 5)	Date	Expiration		Amount	
				Code '	V (A) (D)	Exercisable	*	of	Number of Shares	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

YOUNG GREGOR T IV 216 FRANKLIN STREET JOHNSTOWN, PA 15901

President & CEO of Trust Co.

Signatures

Sharon M. O8/21/2013 Callihan

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 68,553 common shares jointly held with Mr. Young's spouse. Mr. Young also owns 1,867 trust preferred shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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