#### Edgar Filing: AMERISERV FINANCIAL INC /PA/ - Form 3

#### AMERISERV FINANCIAL INC /PA/

Form 3

January 26, 2015

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AMERISERV FINANCIAL INC /PA/ [ASRV] A Lynch Michael D (Month/Day/Year) 01/15/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 216 FRANKLIN STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person JOHNSTOWN, Â PAÂ 15904 (give title below) (specify below) Form filed by More than One Interim SVP & CFO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 1,960 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date (Month/Day/Year)		Securities Underlying		Conversion	Ownership	Beneficial
			Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date	Expiration	Title	Amount or	Derivative	Security:	
					Security	Direct (D)	
	Exercisable	Date		Number of		or Indirect	

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				Shares		(I) (Instr. 5)	
Common Stock (Right to Buy)	03/12/2011	03/12/2020	Common Stock	2,250	\$ 1.7	D	Â
Common Stock (Right to Buy)	03/15/2013	03/15/2022	Common Stock	2,058	\$ 2.75	D	Â
Common Stock (Right to Buy)	03/15/2014	03/15/2023	Common Stock	1,061	\$ 3.23	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of more removers	Director	10% Owner	Officer	Other		
Lynch Michael D 216 FRANKLIN STREET JOHNSTOWN, Â PAÂ 15904	Â	Â	Interim SVP & CFO	Â		

## **Signatures**

/s/Sharon M Callihan, Attorney-in-Fact 01/26/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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