

CA, INC.  
Form 4  
May 30, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Lamm Jacob

(Last) (First) (Middle)  
520 MADISON AVENUE  
(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CA, INC. [CA]

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/26/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)  
EVP, STRATEGY & CORPORATE DEV

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V	Amount		
					Price		
Common Stock, \$.10 par value	05/26/2017		F	D	1,090	\$ 31.82	62,988 D
Common Stock, \$.10 par value							875.246 I 401(k) Plan <sup>(1)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lamm Jacob 520 MADISON AVENUE NEW YORK, NY 10022			EVP, STRATEGY & CORPORATE DEV	

## Signatures

/s/ Jacob Lamm by Kristen W. Prohl as attorney-in-fact  
Date: 05/30/2017

Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares held in the CA Savings Harvest Plan, a 401(k) Plan. Information presented as of May 26, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. y:Arial;font-size:10pt;font-style:italic;"> and following the instructions you will find on the web site. Internet proxy submission is available 24 hours a day. You will be given the opportunity to confirm that your instructions have been properly recorded.

By Telephone: You can submit a proxy by telephone until 1:00 a.m. Central Time on February 16, 2017, by calling toll-free 1-800-652-VOTE (8683) from the U.S. and Canada, and following the instructions.

### IF YOU ARE A BENEFICIAL OWNER WITH A RAYMOND JAMES ACCOUNT

If you previously elected to receive electronic shareholder communications through Investor Access, vote your shares by logging in to [raymondjames.com/investoraccess](http://raymondjames.com/investoraccess):

- Go to the Documents screen
- Click the Shareholder Documents tab
- Expand the January 2017 button
- Click the Annual Meeting link in the RJF row
- Follow proxyvote.com voting instructions

#### IF YOUR SHARES ARE HELD IN THE RAYMOND JAMES ESOP

For participants in the Raymond James Employee Stock Ownership Plan ("Plan"), your shares will be voted as you instruct the Plan trustee.

There are three ways to vote:

- By returning your proxy card,
- By the Internet, or
- By telephone.

Please follow the instructions included on your proxy card on how to vote using one of the three methods.

Your vote will serve as voting instructions to the trustee of the Plan for shares allocated to your account. If you do not vote shares allocated to your account held in the Plan, your shares will nevertheless be voted

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by the trustee in the same proportion as it votes the shares of Plan participants who have instructed the trustee on how to vote.

You cannot vote your ESOP shares in person at the meeting. To allow sufficient time for voting by the trustee of the Plan, our transfer agent must receive your vote by no later than 5:00 p.m. Eastern Time on February 13, 2017.

Even if you plan to be present at the Annual Meeting of Shareholders, we encourage you to vote your shares by proxy using one of the methods described above. Raymond James shareholders of record who attend the meeting, and beneficial owners who have obtained a proxy from their record holder, may vote their shares in person, even though they have sent in proxies.

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**Reminder: Vote Your RJF Shares**

Be sure to vote your RJF shares for the 2017 Annual Meeting of Shareholders on February 16. Your vote is important, no matter the size of your holdings.

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February 16, 2017

Today: RJF Annual Meeting of Shareholders

Attend or listen to a live audio broadcast of the shareholders meeting. See details.

Attend the shareholders meeting at the Raymond James Financial headquarters in St. Petersburg, Florida, beginning at 4:30 p.m. ET today in the Tower 3 Learning Center, or listen to the live audio broadcast here on [raymondjames.com](http://raymondjames.com).

**More Information**

The 2017 proxy statement and 2016 annual report are available at [raymondjames.com](http://raymondjames.com) under Investors > Shareholders' Meeting.

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RJF Annual Meeting of Shareholders Recap

The 2017 Annual Meeting of Shareholders was held Thursday, February 16. See a recap.

2017 Annual Meeting of Shareholders

The 2017 Annual Shareholders' Meeting was held on February 16 at the Raymond James Financial headquarters in St. Petersburg, Florida.

Proxy Materials

The 2017 proxy statement, 2016 annual report and other proxy materials are also available at [raymondjames.com](http://raymondjames.com) under Investors > Shareholders' Meeting.

Meeting Recap

All Raymond James shareholders and associates can access a recorded session and presentation deck from the Annual Meeting of Shareholders on [raymondjames.com](http://raymondjames.com).

WEEKLY DIGEST

January 17

HOM; RJ&A

RJF Shareholders: Let your Voice Be Heard

Last week, all RJF shareholders should have received proxy materials by mail or email. Review your materials, and learn how to vote your shares, no matter the size of your holdings. [Learn more.](#)

FID; IAD; ICD

RJF Shareholders: Let your Voice Be Heard

If you own RJF common stock in your own portfolio, you should have received your proxy materials. Review the materials and vote your shares, no matter the size of your holdings. [Learn more.](#)

January 31

HOM

RJF Shareholders: Your Vote Matters

All RJF shareholders have the right to vote. Learn how to vote all of your shares, and save the date for the 2017 Annual Meeting of Shareholders at 4:30 p.m. ET on Thursday, February 16.

RJ&A

RJF Shareholders: Your Vote Matters

All RJF shareholders have the right to vote. Learn how to vote all of your shares.

FID; IAD; ICD

RJF Shareholders: Your Vote Matters

If you own RJF common stock in your own portfolio, we encourage you to review your RJF proxy materials, delivered by mail or email, and vote all of your shares.

February 7

HOM; RJ&A

3 Ways to Vote Your RJF Shares

Depending on how many different ways you own RJF shares, you may need to vote up to three times in order to vote all your shares. We encourage you to review all of the proxy materials, see the board's recommendations, and learn how to vote.

FID; IAD; ICD

Remember to Vote Your RJF Shares

If you own RJF common stock in your own portfolio, we encourage you to review all of the proxy materials and consider the items on the ballot, along with the board's recommendations and the various ways to vote your shares.

February 14

HOM; RJ&A

RJF Shareholders Meeting Thursday: Last Chance to Vote

We encourage you to review all of the proxy materials, the board's recommendations and voting instructions. Then, vote your shares. You may also attend or listen to a live audio broadcast of the shareholders meeting beginning at 4:30 p.m. ET on February 16, in the Tower 3 Learning Center in St. Petersburg, Florida.

FID; IAD; ICD

RJF Shareholders Meeting Thursday: Last Chance to Vote

If you own RJF common stock in your own portfolio, we encourage you to review all of the proxy materials, the board's recommendations and voting instructions, and to vote your shares. You may listen to a live audio broadcast of the shareholders' meeting beginning at 4:30 p.m. ET February 16.

RJTV SLIDES

THE SHORT LIST (CORPORATE AND RJA MANAGER RESOURCES)

February Edition

RJF Annual Meeting of Shareholders

- If any of your associates are RJF shareholders, they should have received their proxy materials by mail or email with instructions on how to vote their shares for the Annual Meeting of Shareholders on February 16.
  - Encourage them to review all of the proxy materials and vote their shares, no matter the size of their holdings. If they own shares in more than one form, they may need to vote up to three times in order to vote all of their shares.
  - For more information on how to vote, refer them to the RJnet or their personal proxy materials.
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RJA BRANCH MANAGER RESOURCES (RJA BRANCH AND OPERATIONS MANAGERS)

February Edition

Branch/Ops Managers talking points:

All RJF shareholders should have received their proxy materials by mail or email with instructions on how to vote their shares for the Raymond James Financial, Inc. Annual Meeting of Shareholders, which will be held at 4:30 p.m. ET on February 16, 2017, at the home office in St. Petersburg, Florida.

We encourage all shareholders to review all of the proxy materials and vote their shares, no matter the size of their holdings.

If shareholders own shares in more than one form, they may need to vote up to three times in order to vote all of their shares.

For more information on how to vote, refer them to RJnet or their personal proxy materials.

BREAKFAST WITH THE BOSS

2/10: Paul Reilly

Slide:

Talking points:

At this point, all RJF shareholders should have received their proxy materials by mail or email.

If you are a shareholder, I encourage you to review all of the proxy materials and vote your shares, no matter the size of your holdings.

The voting deadlines for various forms of share ownership are contained in the “Questions and Answers About Voting Your Shares” section of the proxy statement.

If you make the proper arrangements in advance, you can also vote in-person at the 2017 Annual Meeting of Shareholders, to be held here in St. Pete on February 16.

For more information on how to vote your shares, see the proxy materials or search “Shareholders” on RJnet.

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PRESIDENTS' NEWSLETTERS

President's Honor Roll (RJA) and President's Update (RJFS)

At this time, all RJF shareholders should have received their proxy materials by mail or email with instructions on how to vote their shares for the Raymond James Financial, Inc. Annual Meeting of Shareholders, which will be held at 4:30 p.m. ET on February 16, 2017, at the home office in St. Petersburg, Florida. We encourage all shareholders to review all the proxy materials and vote their shares, no matter the size of their holdings.

If you own shares in more than one form, you may need to vote up to three times in order to vote all of your shares. Find more information on RJnet.

President's Update (RJFS)

Worth Repeating / General PCG Updates

Vote Your RJF Shares - All RJF shareholders should have received their proxy materials by mail or email with instructions on how to vote their shares for the Raymond James Financial, Inc. Annual Meeting of Shareholders

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