

KING ROBERT F
Form 4
January 19, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KING ROBERT F

(Last) (First) (Middle)

C/O AMTECH SYSTEMS INC, 131
SOUTH CLARK DRIVE

(Street)

TEMPE, AZ 85281

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

AMTECH SYSTEMS INC [ASYS]

3. Date of Earliest Transaction (Month/Day/Year)

01/18/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018		M ⁽¹⁾		2,000	A	\$ 2.95 10,200	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018		M ⁽¹⁾		1,666	A	\$ 6.15 11,866	D

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Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 7.01	13,866	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	5,000	A	\$ 11.05	18,866	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	1,033	A	\$ 7.98	19,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 9.98	21,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 5.25	23,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 5.75	25,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>S</u> (1)	17,699	D	\$ 11.25	8,200	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 2.95	01/18/2018		M	2,000	12/11/2013	12/11/2022	Amtech Systems, Inc. \$.01 par value common stock	2,000
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 6.15	01/18/2018		M	1,666	11/20/2010	11/20/2019	Amtech Systems, Inc. \$.01 par value common stock	1,666
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 7.01	01/18/2018		M	2,000	12/12/2014	12/12/2023	Amtech Systems, Inc. \$.01 par value common stock	2,000
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 11.05	01/18/2018		M	5,000	03/14/2009	03/14/2018	Amtech Systems, Inc. \$.01 par value common stock	5,000
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 7.98	01/18/2018		M	1,033	12/15/2012	12/15/2021	Amtech Systems, Inc. \$.01 par value common stock	1,033
	\$ 9.98	01/18/2018		M	2,000	11/19/2015	11/19/2024		2,000

