

CASEYS GENERAL STORES INC

Form 4

January 03, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HANDLEY TERRY W

2. Issuer Name and Ticker or Trading Symbol
CASEYS GENERAL STORES INC [CASY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
ONE CONVENIENCE BLVD., PO BOX 3001

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/15/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

ANKENY, IA 50021

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)			
				(A) or (D)	Price					
				Code	V	Amount				
Common Stock	12/15/2016		G	V	83	D	\$ 0	19,215	D	
Common Stock								11,396 ⁽¹⁾	I	Voting and tender rights under 401k plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. F			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option - right to buy ⁽²⁾	\$ 25.26							06/23/2012	06/23/2019	Common Stock	5,000
Option - right to buy ⁽³⁾	\$ 44.39							06/23/2014	06/23/2021	Common Stock	20,000
Restricted stock units ⁽⁴⁾	⁽⁵⁾							⁽⁴⁾	⁽⁴⁾	Common Stock	10,000
Restricted stock units ⁽⁶⁾	⁽⁵⁾							⁽⁶⁾	⁽⁶⁾	Common Stock	3,250
Restricted stock units ⁽⁷⁾	⁽⁵⁾							⁽⁷⁾	⁽⁷⁾	Common Stock	3,250
Restricted stock units ⁽⁸⁾	⁽⁵⁾							⁽⁸⁾	⁽⁸⁾	Common Stock	3,250

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HANDLEY TERRY W ONE CONVENIENCE BLVD. PO BOX 3001 ANKENY, IA 50021	X		President & CEO	

Signatures

William J. Noth, under Power of Attorney dated
06/09/2003

01/03/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Allocated to Mr. Handley's 401k plan account as of April 30, 2016. Does not include any shares allocated by the plan trustee after that date.
- (2) Pursuant to terms and conditions of 2000 Stock Option Plan.
- (3) Pursuant to terms and conditions of 2009 Stock Incentive Plan.
Pursuant to a Restricted Stock Units Agreement dated as of April 12, 2016, executed in conjunction with Mr. Handley's employment
- (4) agreement of the same date. These units vest over a 5-year period, commencing on May 1, 2017 and thereafter on each May 1 of the following 4 years, at the rate of 2,000 units (20% of the total units awarded) per year.
- (5) Each restricted stock unit represents the right to receive, following vesting, one share of Common Stock.
- (6) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 6, 2017.
- (7) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 5, 2018.
- (8) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 2, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.