

ARMSTRONG RONALD E
Form 4
February 09, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ARMSTRONG RONALD E

(Last) (First) (Middle)

777 106TH AVENUE NE

(Street)

BELLEVUE, WA 98004

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PACCAR INC [PCAR]

3. Date of Earliest Transaction
(Month/Day/Year)
02/07/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
COMMON STOCK	02/07/2018		A ⁽¹⁾		32,686	A	\$ 68.69	200,741	D	
COMMON STOCK (SIP) ⁽²⁾								21,281.387 ⁽³⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
<u>STOCK OPTION (4)</u>	\$ 36.12							01/01/2013	02/02/2020	COMMON STOCK	21,75
<u>STOCK OPTION (4)</u>	\$ 50.5							01/01/2014	02/03/2021	COMMON STOCK	31,38
<u>STOCK OPTION (4)</u>	\$ 43.24							01/01/2015	02/02/2022	COMMON STOCK	49,10
<u>STOCK OPTION (4)</u>	\$ 47.81							01/01/2016	02/06/2023	COMMON STOCK	43,67
<u>STOCK OPTION (4)</u>	\$ 59.15							01/01/2017	02/07/2024	COMMON STOCK	34,39
<u>STOCK OPTION (4)</u>	\$ 62.46							01/01/2018	02/04/2025	COMMON STOCK	58,37
<u>STOCK OPTION (4)</u>	\$ 50							01/01/2019	02/04/2026	COMMON STOCK	92,38
<u>STOCK OPTION (4)</u>	\$ 67.63							01/01/2020	02/07/2027	COMMON STOCK	73,14
<u>STOCK OPTION (4)</u>	\$ 68.69	02/07/2018		<u>A</u> (4)		64,460		01/01/2021	02/07/2028	COMMON STOCK	64,46

Reporting Owners

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

ARMSTRONG RONALD E
777 106TH AVENUE NE
BELLEVUE, WA 98004

X

Chief Executive Officer

Signatures

Ronald E. Armstrong by Irene E. Song
POA

02/09/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock awarded under PACCAR Long Term Incentive Plan (LTIP).
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) Balance includes shares awarded under SIP (Company match) since date of last report in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3 (c).
- (4) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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