Edgar Filing: WERTHAN THOMAS G - Form 4

Form 4	THOMAS G										
November 2									OMB AF	PROVAL	
FORM	/1 4 UNITED S	STATES					NGE CO	OMMISSION	OMB	3235-0287	
Check the if no lon subject the Section Form 4 Form 5	to STATEM 16. or	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							Number: Expires: Estimated a burden hour response	January 31, 2005 Iverage	
obligatio may cor <i>See</i> Instr 1(b).	ntinue. Section 17(a	·		tility Hole	U	· ·	•	1935 or Section)	I		
(Print or Type	Responses)										
WERTHAN THOMAS G Symbo			Symbol	r Name and RE CORF				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	Aiddle)							ck all applicable)		
C/O EMCO CORPORA DRIVE	DRE ATION, 145 BELN	IONT	(Month/I 05/14/2	Day/Year) 002				Director X Officer (give below) Chief F		Owner er (specify er	
				Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
SOMERSE	ET, NJ 08873						:	Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secur	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Fransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securi on(A) or Di (Instr. 3, Amount	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/14/2002			S	2,000	D	\$ 8.335	71,915 <u>(1)</u>	D		
Common Stock	05/14/2002			S	3,500	D	\$ 8.265	68,415	D		
Common Stock	05/14/2002			S	2,000	D	\$ 8.145	66,415	D		
Common Stock	05/14/2002			S	1,800	D	\$ 8.165	64,615	D		
Common Stock	05/15/2002			S	1,500	D	\$ 8.225	63,115	D		

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Common Stock	05/15/2002	S	1,200	D	\$ 8.265	61,915	D	
Common Stock	11/22/2005	S	5,157	D	\$ 6.22	56,758	D	
Common Stock	11/22/2005	S	200	D	\$ 6.2218	56,558 <u>(2)</u>	D	
Common Stock						5,727	Ι	by 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Addro	ess	Relationships							
	Director	10% Owner	Officer	Other					
WERTHAN THOMAS G C/O EMCORE CORPORATI 145 BELMONT DRIVE SOMERSET, NJ 08873	ON		Chief Financial Officer						
Signatures									
/s/ Thomas G. Werthan	1/23/2005								

<u>**</u>Signature of Reporting Person Date

Reporting Owners

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Previously reported holdings included 401(k) shares as a direct holding. Ownership of these shares is now listed as Indirect.
- (2) Includes 11,877 shares purchased under ESPP

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.