### Edgar Filing: FEDERAL AGRICULTURAL MORTGAGE CORP - Form 4

#### FEDERAL AGRICULTURAL MORTGAGE CORP

Form 4

March 17, 2016

## FORM 4

Check this box

if no longer

subject to

Section 16.

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Engebretsen James R

2. Issuer Name and Ticker or Trading

Symbol

FEDERAL AGRICULTURAL MORTGAGE CORP [AGM]

(Last) (First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 03/15/2016

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

10% Owner

Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

C/O FARMER MAC, 1999 K

STREET NW, 4TH FLOOR

(State)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WASHINGTON, DC 20006

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Trans Code (Instr
		, , , , , , , , , , , , , , , , , , , ,	

(Zip)

4. Securities nsactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) tr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned **Following** Reported

6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) Transaction(s) or Code V Amount (D) Price

(Instr. 3 and 4)

Class C

Stock

Non-Voting Common

(City)

03/15/2016

Α

 $9.759^{(2)}$ 

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: FEDERAL AGRICULTURAL MORTGAGE CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 5	Director	10% Owner	Officer	Other		
Engebretsen James R C/O FARMER MAC 1999 K STREET NW, 4TH FLOOR WASHINGTON, DC 20006	X					

### **Signatures**

Anjali Desai, as attorney-in-fact for James R. 03/17/2016 Engebretsen

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of shares of restricted stock under the Federal Agricultural Mortgage Corporation's ("Farmer Mac") 2008 Omnibus Incentive Plan (1) for no consideration. All of the shares of restricted stock will vest on March 31, 2017 if the Reporting Person remains a director of Farmer Mac on that date.
  - Includes 1,544 and 1,575 restricted shares of the Federal Agricultural Mortgage Corporation's ("Farmer Mac") Class C Non-Voting
- (2) Common Stock that will vest on March 31, 2016 and March 31, 2017, respectively, if the Reporting Person remains a director of Farmer Mac on that date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2