Edgar Filing: FEDERAL AGRICULTURAL MORTGAGE CORP - Form 4

FEDERAL AGRICULTURAL MORTGAGE CORP

Form 4

March 15, 2017

FORM	4
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

Common Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * Faivre-Davis Sara Louise

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

Symbol

FEDERAL AGRICULTURAL

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to

Issuer

below)

MORTGAGE CORP [AGM]

(Middle)

(Zip)

(Month/Day/Year) 03/14/2017

_X__ Director 10% Owner Other (specify Officer (give title

(Check all applicable)

C/O FARMER MAC, 1999 K STREET NW, 4TH FLOOR

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

(1)

WASHINGTON, DC 20006

(City)	(State) (Exp	Table I	- Non-Deri	ivative Sec	curitie	s Acqu	iired, Disposed of	f, or Beneficiall	y Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired	l (A) or	r	Securities	Ownership	Indirect
(Instr. 3)		any	Code	Disposed	of (D))	Beneficially	Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and 5	5)	Owned	(D) or	Ownership
							Following	Indirect (I)	(Instr. 4)
					()		Reported	(Instr. 4)	
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Class C									
Non-Voting	03/14/2017		A	869	A	\$ 0	4,791 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	f 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivativ	e Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Date		Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration		or Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		
				Code v	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Faivre-Davis Sara Louise C/O FARMER MAC X 1999 K STREET NW, 4TH FLOOR WASHINGTON, DC 20006

Signatures

Anjali Desai, as attorney-in-fact for Sara L. Faivre-Davis

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of shares of restricted stock under the Federal Agricultural Mortgage Corporation's ("Farmer Mac") 2008 Omnibus Incentive Plan (1) for no consideration. All of the shares of restricted stock will vest on March 31, 2018 if the Reporting Person remains a director of Farmer Mac on that date.

03/15/2017

Includes 1,575 and 869 restricted shares of Farmer Mac's Class C Non-Voting Common Stock that will vest on March 31, 2017 and March 31, 2018, respectively, if the Reporting Person remains a director of Farmer Mac on that date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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