

LATTICE SEMICONDUCTOR CORP

Form 4

March 04, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KRISHNAMURTHY BALAJI2. Issuer Name **and** Ticker or Trading
Symbol
**LATTICE SEMICONDUCTOR
CORP [LSCC]**5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

5555 N.E. MOORE CT.

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
02/28/2014☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)4. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person**HILLSBORO, OR 97124**

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Beneficial (Instr. 4)
Common Stock	02/28/2014		M	(A) or (D) Amount 2,500 (1)	\$ 2.32 38,839	D	
Common Stock	02/28/2014		S	(A) or (D) Amount 2,500 (1)	\$ 7.6968 36,339 (2)	D	
Common Stock	02/28/2014		M	(A) or (D) Amount 2,500 (1)	\$ 2.29 38,839	D	
Common Stock	02/28/2014		S	(A) or (D) Amount 2,500 (1)	\$ 7.6968 36,339 (2)	D	

Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 2.29	02/28/2014		M		2,500 (1)		11/04/2011 ⁽³⁾	08/04/2019	Common Stock	2
Non-Qualified Stock Option (right to buy)	\$ 2.32	02/28/2014		M		2,500 (1)		11/05/2010 ⁽⁴⁾	08/05/2018	Common Stock	2

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KRISHNAMURTHY BALAJI 5555 N.E. MOORE CT. HILLSBORO, OR 97124		X		

Signatures

By: Byron W. Milstead, Attorney-in-Fact For: Balaji
Krishnamurthy

02/28/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The transaction indicated was conducted under an approved 10b5-1 Plan.

(2) The price indicated is the weighted average sale price for the shares sold. The individual sale prices for the shares indicated range from \$7.63 to \$7.72.

Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

- This option to purchase shares of common stock vests as follows: 25% of the total amount of shares subject to the option vest on
- (3) 11/4/2011. 25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 8/4/2012.

- This option to purchase shares of common stock vests as follows: 25% of the total amount of shares vest subject to the option on
- (4) 11/5/2010. 25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 8/5/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.