Edgar Filing: Komarek Luke - Form 4

Komarek Luk	xe										
Form 4	0010										
September 05											
					TIES AND EXCHANGE COMMISSION ngton, D.C. 20549					9PROVAL 3235-0287	
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru- 1(b).	er STATE 5. Filed pu s Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								Expires:January 312005Estimated averageburden hours perresponse0.5	
(Print or Type R	esponses)										
Komarek Luke Symbol				Issuer Name and Ticker or Trading bol RISTOPHER & BANKS CORP				5. Relationship of Reporting Person(s) to Issuer			
			[CBK]	OTTILIC			ON	(Check all applicable)			
(M				3. Date of Earliest Transaction (Month/Day/Year) 09/01/2018				Director 10% Owner X Officer (give title Other (specify below) below) below) SVP, General Counsel			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
PLYMOUTH	H, MN 55441								Nore than One Re		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Acc	quired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Executio any	med on Date, if	3. Transactic Code (Instr. 8) Code V	4. Securi on(A) or Di (D) (Instr. 3,	ties Adispose 4 and (A) or	cquired d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial	
Common Stock	09/01/2018			F <u>(1)</u>	1,203	D	\$ 0.98	75,599 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 4.18					04/13/2010 <u>(3)</u>	04/13/2019	Common Stock	35,000
Employee Stock Option (Right to Buy)	\$ 10.8					04/19/2011 <u>(4)</u>	04/19/2020	Common Stock	21,200
Employee Stock Option (Right to Buy)	\$ 6.28					04/18/2012 <u>(5)</u>	04/18/2021	Common Stock	26,236
Employee Stock Option (Right to Buy)	\$ 1.91					03/29/2013 <u>(6)</u>	03/29/2022	Common Stock	35,749
Employee Stock Option (Right to Buy)	\$ 6.25					03/15/2014 <u>(7)</u>	03/15/2023	Common Stock	9,039
Employee Stock Option (Right to Buy)	\$ 2.12					09/01/2017 <u>(8)</u>	09/01/2026	Common Stock	86,019
Employee Stock Option (Right to	\$ 1.28					03/16/2018 <u>(9)</u>	03/16/2027	Common Stock	75,000

Buy)

Reporting Owners

Reporting Owner Name / Address

Relationships

Other

Komarek Luke 2400 XENIUM LANE NORTH PLYMOUTH, MN 55441

SVP, General Counsel

Signatures

/s/ Brook T. Nuernberg, Attorney-in-Fact

09/05/2018

Date

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Director

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a transaction involving a disposition to the Company of equity securities upon the vesting of restricted stock whereby the reporting person used a portion of the shares that vested to satisfy the resulting tax withholding obligations.
- (2) This number includes restricted stock awards.
- (3) On April 13, 2009, the reporting person was granted an option to purchase 35,000 shares of Common Stock. The option vests equally in five annual installments of 7,000 shares beginning on April 13, 2010.
- (4) On April 19, 2010, the reporting person was granted an option to purchase 21,200 shares of Common Stock. The option vests in three annual installments of 7,066 shares on April 19, 2011, 7,067 shares on April 19, 2012 and 7,067 shares on April 19, 2013.
- (5) On April 18, 2011, the reporting person was granted an option to purchase 26,236 shares of Common Stock. The option vests in three annual installments of 8,746 shares on April 18, 2012, 8,745 shares on April 18, 2013 and 8,745 shares on April 18, 2014.
- (6) On March 29, 2012, the reporting person was granted an option to purchase 35,749 shares of Common Stock. The option vests in three annual installments of 11,917 shares on March 29, 2013, 11,916 shares on March 29, 2014 and 11,916 shares on March 29, 2015.
- On March 15, 2013, the reporting person was granted an option to purchase 9,039 shares of the Company's Common Stock. The option vests in three annual installments of 3,013 shares on each of March 15, 2014, March 15, 2015 and March 15, 2016.
- (8) On September 1, 2016, the reporting person was granted an option to purchase 86,019 shares of the Company's Common Stock. The option vests in three annual installments of 28,673 shares on each of September 1, 2017, September 1, 2018 and September 1, 2019.
- (9) On March 16, 2017, the reporting person was granted an option to purchase 75,000 shares of the Company's Common Stock. The option vests in three annual installments of 25,000 shares on each of March 16, 2018, March 16, 2019 and March 16, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.