

SUN MICROSYSTEMS INC

Form 8-K

September 30, 2002

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT**  
**Pursuant to Section 13 or 15(d) of**  
**the Securities Exchange Act of 1934**

September 30, 2002

Date of Report (date of earliest event reported)  
**SUN MICROSYSTEMS, INC.**

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(Exact name of Registrant as specified in its charter)

**Delaware**

**0-15086**

**94-2805249**

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(State or other jurisdiction of  
incorporation)

(Commission File Number)

(I.R.S. Employer  
Identification Number)

**4150 Network Circle**  
**Santa Clara, CA 95054-1778**

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(Address of principal executive offices)  
**(650) 960-1300**

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(Registrant's telephone number, including area code)  
**N/A**

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(Former name or former address, if changed since last report)

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Item 5. Other Events

Item 7. Financial Statements and Exhibits

SIGNATURE

EXHIBIT INDEX

EXHIBIT 99.1

EXHIBIT 99.2

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**Item 5. Other Events**

On September 30, 2002, Sun Microsystems, Inc. submitted to the Securities and Exchange Commission the Statements under Oath of Principal Executive Officer and Principal Financial Officer in accordance with the SEC's June 27, 2002 Order requiring the filing of sworn statements pursuant to Section 21(a)(1) of the Securities and Exchange Act of 1934.

**Item 7. Financial Statements and Exhibits**

**(c) Exhibits**

The following exhibits are filed herewith:

<b>Exhibit Number</b>	<b>Description</b>
99.1	Statement Under Oath of Scott G. McNealy, Principal Executive Officer of Sun Microsystems, Inc., Regarding Facts and Circumstances Relating to Exchange Act Filings.
99.2	Statement Under Oath of Stephen T. McGowan, Principal Financial Officer of Sun Microsystems, Inc., Regarding Facts and Circumstances Relating to Exchange Act Filings.

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SUN MICROSYSTEMS, INC.

Date: September 30, 2002

By: /s/ Stephen T. McGowan

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Stephen T. McGowan  
Chief Financial Officer and  
Executive Vice President,  
Corporate Resources

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