

Schmit Michael  
Form 3  
June 20, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|                                           |                                      |                                                                                                                                                                                                                          |
|-------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Name and Address of Reporting Person * | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol                                                                                                                                                                              |
| Â Schmit Michael                          | (Month/Day/Year)                     | CHART INDUSTRIES INC [GTLS]                                                                                                                                                                                              |
| (Last) (First) (Middle)                   | 06/12/2018                           |                                                                                                                                                                                                                          |
| 3055 TORRINGTON DRIVE                     |                                      | 4. Relationship of Reporting Person(s) to Issuer                                                                                                                                                                         |
| (Street)                                  |                                      | (Check all applicable)                                                                                                                                                                                                   |
|                                           |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below) (specify below)<br>CAO and Corporate Controller |
| BALL GROUND,Â GAÂ 30107                   |                                      | 5. If Amendment, Date Original Filed(Month/Day/Year)                                                                                                                                                                     |
| (City) (State) (Zip)                      |                                      |                                                                                                                                                                                                                          |
|                                           |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)                                                                                                                                                               |
|                                           |                                      | <input checked="" type="checkbox"/> Form filed by One Reporting Person                                                                                                                                                   |
|                                           |                                      | <input type="checkbox"/> Form filed by More than One Reporting Person                                                                                                                                                    |

### Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security<br>(Instr. 4)       | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------------|----------------------------------------------------------|
| Common stock, par value \$0.01 per share | 480 <sup>(1)</sup>                                       | D                                                                 | Â                                                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security:<br>Direct (D)<br>or Indirect | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|-----------------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------|
|                                               | Date Exercisable      Expiration Date                       | Title      Amount or Number of                                                 |                                                        |                                                                        |                                                          |

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|                                                         |            |                                          | Shares |          |   | (I)<br>(Instr. 5) |  |
|---------------------------------------------------------|------------|------------------------------------------|--------|----------|---|-------------------|--|
| Common stock, par value \$0.01 per share <sup>(2)</sup> | 01/02/2028 | Common stock, par value \$0.01 per share | 1,450  | \$ 48.39 | D |                   |  |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |                              |  | Other |
|------------------------------------------------------------------|---------------|-----------|------------------------------|--|-------|
|                                                                  | Director      | 10% Owner | Officer                      |  |       |
| Schmit Michael<br>3055 TORRINGTON DRIVE<br>BALL GROUND, GA 30107 |               |           | CAO and Corporate Controller |  |       |

## Signatures

/s/ Michael Schmit, by Derek B. Swanson, his attorney-in-fact 06/20/2018

--Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 480 shares of the total amount beneficially owned were granted on January 2, 2018 pursuant to a restricted share unit agreements under the Chart Industries, Inc. 2017 Omnibus Equity Plan in an exempt transaction under Rule 16b-3 and remain subject to restriction.

(2) These options were granted on January 2, 2018 pursuant to the Chart Industries, Inc. 2017 Omnibus Equity Plan in an exempt transaction under Rule 16b-3. The options vest with respect to one-fourth (1/4) of the total number of shares of common stock underlying the stock options on each of the first four anniversaries of the date of grant.

### Remarks:

Exhibit List: Exhibit 24.1 -- Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.