Bhattacharya Ashis Nayan Form 4 October 17, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Bhattacharya Ashis Nayan

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol

WINNEBAGO INDUSTRIES INC

(Check all applicable)

[WGO]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title below)

VP-Strategic Dev. & Planning

10/15/2018

WINNEBAGO INDUSTRIES, INC., P.O. BOX 152

> 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FOREST CITY, IA 50436

| (City)                               | (State) (A                           | Table Table   | I - Non-Do                             | erivative S   | Securi | ities Acc  | puired, Disposed o   | of, or Beneficial   | ly Owned |
|--------------------------------------|--------------------------------------|---|--|---|--------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
| Common                               |                                      |   | Code V                                 | Amount  | ` '    | Price  | , ,  |   |          |
| Stock, \$.50 par value               | 10/15/2018                           |   | A                                      | 4,264<br>(1)  | A      | \$0  | 12,303   | D   |          |
| Common<br>Stock, \$.50<br>par value  | 10/15/2018                           |   | A                                      | 5,659   | A      | \$ 0   | 17,962   | D   |          |
| Common<br>Stock, \$.50<br>par value  | 10/15/2018                           |   | F                                      | 1,732   | D      | \$<br>31.7   | 16,230   | D   |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | ate, if Transaction Derivative Code Securities |         | 6. Date Exer<br>Expiration E<br>(Month/Day | ate                | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--|---------|--|--------------------|---|--|
|   |   |   |   | Code V   | (A) (D) | Date<br>Exercisable                        | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 31.7   | 10/15/2018                              |   | A  | 8,580   | <u>(2)</u>                                 | 10/15/2028         | Common<br>Stock   | 8,580                                  |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bhattacharya Ashis Nayan WINNEBAGO INDUSTRIES, INC. P.O. BOX 152 FOREST CITY, IA 50436

VP-Strategic Dev. & Planning

## **Signatures**

/s/ Stacy Bogart, Vice President, General Counsel & Secretary, Winnebago Industries, Inc. under Power of Attorney

10/17/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted 10/15/18 under the Winnebago Industries, Inc. 2014 Omnibus Equity, Performance Awards, and Incentive Plan. Restricted stock units vest in annual increments of one-third beginning on 10/15/2019.
- (2) Stock options vest in annual increments of one-third beginning on 10/15/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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