

RBS INVESTMENT MANAGEMENT LLC

Form 4

October 07, 2010

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LAMPERT EDWARD S

(Last) (First) (Middle)

200 GREENWICH AVENUE

(Street)

GREENWICH, CT 06830

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
AUTOZONE INC [AZO]

3. Date of Earliest Transaction (Month/Day/Year)  
10/05/2010

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)

\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	10/05/2010		S	96,358 D	\$ 233.7 (1) 8,011,297	I	See Footnotes (2) (11)
Common Stock, par value \$0.01 per share	10/06/2010		S	54,163 D	\$ 233.03 (3) 7,957,134	I	See Footnotes (2) (11)
Common Stock, par	10/07/2010		S	71,120 D	\$ 233.04 7,886,014	I	See Footnotes

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value					<u>(4)</u>				<u>(2)</u> <u>(11)</u>
\$0.01 per share									
Common Stock, par value	10/05/2010	S	28,758	D	\$ <u>233.7</u> <u>(1)</u>	2,390,992	I		See Footnotes <u>(5)</u> <u>(11)</u>
\$0.01 per share									
Common Stock, par value	10/06/2010	S	16,165	D	\$ <u>233.03</u> <u>(3)</u>	2,374,827	I		See Footnotes <u>(5)</u> <u>(11)</u>
\$0.01 per share									
Common Stock, par value	10/07/2010	S	21,226	D	\$ <u>233.04</u> <u>(4)</u>	2,353,601	I		See Footnotes <u>(5)</u> <u>(11)</u>
\$0.01 per share									
Common Stock, par value	10/05/2010	S	21	D	\$ <u>233.7</u> <u>(1)</u>	1,730	I		See Footnotes <u>(6)</u> <u>(11)</u>
\$0.01 per share									
Common Stock, par value	10/06/2010	S	12	D	\$ <u>233.03</u> <u>(3)</u>	1,718	I		See Footnotes <u>(6)</u> <u>(11)</u>
\$0.01 per share									
Common Stock, par value	10/07/2010	S	15	D	\$ <u>233.04</u> <u>(4)</u>	1,703	I		See Footnotes <u>(6)</u> <u>(11)</u>
\$0.01 per share									
Common Stock, par value	10/05/2010	S	47,725	D	\$ <u>233.7</u> <u>(1)</u>	3,952,331	<u>D</u> <u>(7)</u> <u>(8)</u> <u>(11)</u>		
\$0.01 per share									
Common Stock, par value	10/06/2010	S	26,682	D	\$ <u>233.03</u> <u>(3)</u>	3,925,649	<u>D</u> <u>(7)</u> <u>(8)</u> <u>(11)</u>		
\$0.01 per share									
Common Stock, par value	10/06/2010	G V	21,429	D	\$ 0	3,904,220	<u>D</u> <u>(7)</u> <u>(8)</u> <u>(11)</u>		

\$0.01 per share									
Common Stock, par value	10/07/2010	S	35,035	D	\$ 233.04	3,869,185		D	<u>(7)</u> <u>(8)</u> <u>(11)</u>
\$0.01 per share					<u>(4)</u>				
Common Stock, par value						15,542		I	See Footnotes <u>(8)</u> <u>(9)</u> <u>(11)</u>
\$0.01 per share									
Common Stock, par value						2,000,000		I	See Footnotes <u>(10)</u> <u>(11)</u>
\$0.01 per share									

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

X



Inc., as its general partner, By /s/ Adrian J. Maizey, Chief Financial Officer

10/07/2010

\_\_Signature of Reporting Person

Date

**Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This price represents the approximate weighted average price per share of common stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each, a "Share"), of sales that were executed at prices ranging from \$233.50 to \$233.97 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (2) These Shares are held by ESL Partners, L.P. ("Partners").
- (3) This price represents the approximate weighted average price per Share, of sales that were executed at prices ranging from \$233.00 to \$233.24 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (4) This price represents the approximate weighted average price per Share, of sales that were executed at prices ranging from \$233.00 to \$233.55 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (5) These Shares are held in an account established by the investment member of ESL Investors, L.L.C. ("Investors").
- (6) These Shares are held by ESL Institutional Partners, L.P. ("Institutional").
- (7) These Shares are held by Edward S. Lampert.
- (8) This amount reflects the distribution of 15,774 Shares to Mr. Lampert from a grantor retained annuity trust, of which Mr. Lampert was the trustee, which terminated in August 2010.
- (9) These Shares are held in a grantor retained annuity trust, of which Mr. Lampert is the trustee.
- (10) These Shares are held by Acres Partners, L.P. ("Acres").
- (11) This Form 4 is filed on behalf of Mr. Lampert, ESL Investments, Inc. ("Investments"), Institutional, RBS Partners, L.P. ("RBS"), RBS Investment Management, L.L.C. ("RBSIM"), Partners and Investors. RBS is the general partner of Partners and the managing member of Investors. RBSIM is the general partner of Institutional. Investments is the general partner of RBS and Acres and the manager of RBSIM. Mr. Lampert is the Chairman, Chief Executive Officer and Director of Investments.

**Remarks:**

Pursuant to Rule 16a-1(a)(2)(ii)(B) under the Securities Exchange Act of 1934, as amended (the "Act"), each of the Reporting

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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