

HOME BANCSHARES INC
Form 4
May 15, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Engelkes Jack

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
05/12/2017

Director 10% Owner
 Officer (give title below) Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired or Disposed of (A) or (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|--|---|--|-----------------------------------|
| | | | Code | V Amount (D) Price | | | |
| Common Stock | 02/07/2017 | | G | V 200 D \$ 0 | 118,244.7829 (1) | D | |
| Common Stock | 05/12/2017 | | M | 1,425 A \$ 2.1 | 119,669.7829 | D | |
| Common Stock | 05/12/2017 | | M | 1,425 A \$ 2.46 | 121,094.7829 | D | |
| Common Stock | | | | | 190,487.4436 (2) | I | Owned solely by wife |
| Common Stock - | | | | | 5,500 (3) (4) (5) | D | |

| | | | |
|--------------|------------|---|-------------------------|
| Restricted | | | |
| Common Stock | 400 | I | Held in wife's IRA |
| Common Stock | 42,719.392 | I | Custodian for daughters |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|-------------------------------|
| Stock Option | \$ 2.1 | 05/12/2017 | | M | 1,425 | 12/31/2007 12/31/2017 | Common Stock | 1,425 |
| Stock Option | \$ 2.46 | 05/12/2017 | | M | 1,425 | (6) (6) | Common Stock | 1,425 |
| Stock Option | \$ 2.66 | | | | | (7) (7) | Common Stock | 1,425 |
| Stock Option | \$ 17.12 | | | | | (8) 04/15/2025 | Common Stock | 20,000 |
| Stock Option | \$ 4.3 | | | | | (9) 01/09/2018 | Common Stock | 4,752 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Engelkes Jack P.O. BOX 966 CONWAY, AR 72033 | X | | | |

Signatures

/s/Jack Engelkes by Rachel
Wesson

05/15/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 202.367 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (2) Includes 36.039 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (3) Restricted Stock granted on January 20, 2017 will "cliff" vest 100% three years from award date.
- (4) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (5) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
- (6) 20% of the issued option is remaining. The remaining options became exercisable on December 31, 2008 and expire on December 31, 2018.
- (7) 20% of the issued option is remaining. The remaining options became exercisable on December 31, 2009 and expire on December 31, 2019.
- (8) The option is exercisable in five equal annual installments. The first installment became exercisable on April 16, 2016.
- (9) The option is exercisable in five equal annual installments. The first installment became exercisable on January 10, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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