CardConnect Corp. Form 3
June 05, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

F Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CardConnect Corp. [CCN] À FIRST DATA CORP (Month/Day/Year) 05/26/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 225 LIBERTY STREET, 29TH (Check all applicable) **FLOOR** (Street) 6. Individual or Joint/Group \_X\_\_ 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting Person NEW YORK, NYÂ 10281 X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common stock (1) (2) 0 I See Footnotes (1) (2) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security	Direct (D)	

#### Edgar Filing: CardConnect Corp. - Form 3

Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address  FIRST DATA CORP 225 LIBERTY STREET, 29TH FLOOR NEW YORK, NY 10281	Relationships					
<b>FB</b>	Director	10% Owner	Officer	Othe		
225 LIBERTY STREET, 29TH FLOOR	Â	ÂΧ	Â	Â		
Minglewood Merger Sub Inc. 225 LIBERTY STREET, 29TH FLOOR NEW YORK, NY 10281	Â	ÂX	Â	Â		

# **Signatures**

/s/ Stanley J. Andersen, First Data Corporation 06/05/2017

\*\*Signature of Reporting Person Date

/s/ Stanley J. Andersen, Minglewood Merger Sub Inc. 06/05/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each of the Reporting Persons may be deemed to have become a 10% Owner of CardConnect Corp. (the "Issuer") in connection with the entry into two Tender and Support Agreements dated as of May 26, 2017 (the "Tender Agreements"), by and among the Reporting Persons and each of (a) FTVentures III, L.P., FTVentures III-N, L.P., FTVentures III-T, L.P. and (b) Michael J. Mertz (each, a

- "Stockholder"), representing an aggregate of 12,587,335 shares of the Issuer's common stock beneficially owned by the Stockholders. The Tender Agreements were entered into in connection with the Agreement and Plan of Merger, dated as of May 26, 2017, by and among the Reporting Persons and the Issuer.
- The Reporting Persons exercise voting power in limited situations over such shares of the Issuer's common stock through the grant of an (2) irrevocable proxy by each of the Stockholders pursuant to the Tender Agreements. The Reporting Persons have no pecuniary interest in any of such shares of the Issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2