

STEIN JAY
Form 4
July 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEIN JAY

(Last) (First) (Middle)

**STEIN MART, INC., 1200
RIVERPLACE BOULEVARD,
10TH FLOOR**

(Street)

JACKSONVILLE, FL 32207

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
STEIN MART INC [SMRT]

3. Date of Earliest Transaction
(Month/Day/Year)
06/28/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	
Common Stock	06/28/2018		J ⁽¹⁾		3,581,165	D	\$ 2.56 0	By Berry Hattie Stein Grantor Retained Annuity Trust
Common Stock	06/28/2018		J ⁽¹⁾		3,581,165	A	\$ 2.56 6,093,634	
Common Stock	06/28/2018		J ⁽¹⁾		3,581,165	D	\$ 2.56 0	By Jay Meredith Stein

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Common Stock	06/28/2018	J ⁽¹⁾	3,581,165	A	\$ 2.56	9,674,799	D		Grantor Retained Annuity Trust
Common Stock	06/29/2018	G V	4,500,000	D	\$ 0	5,174,799	D		
Common Stock	06/29/2018	G V	4,500,000	A	\$ 0	4,500,000	I		By Berry Hattie Stein 2018 Grantor Retained Annuity Trust
Common Stock	06/29/2018	G V	4,500,000	D	\$ 0	674,799	D		
Common Stock	06/29/2018	G V	4,500,000	A	\$ 0	4,500,000	I		By Jay Meredith Stein 2018 Grantor Retained Annuity Trust
Common Stock						2,468,826	I		Stein Ventures Limited Partnership
Common Stock						133,709	I		Cary Ventures, Inc.
Common Stock						1,273,694	I		By trust for benefit of family
Common Stock						773,694	I		By trust for benefit of family
Common Stock						731,600	I		By trust for benefit of family
Common Stock						250,000	I		By trust for benefit of family

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

