

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC
Form 13F-HR
February 17, 2009

OMB APPROVAL

OMB Number:
Expires:
Estimated average burden
hours per response.....

SEC USE ONLY

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13F

FORM 13f Cover Page

Report for the Calendar Year or Quarter Ended December 31, 2008

If amended report check here: Amendment Number: _____

This Amendment (Check only one): is a restatement
 adds new holding
entries.

PRIOR FILINGS FOR THE NOMURA GROUP WERE REPORTED UNDER THE NAME NOMURA SECURITIES COMPANY, WITH CIK NUMBER 0000110097. SUBSEQUENT FILINGS WILL BE MADE UNDER THE NAME NOMURA HOLDINGS, INC., CIK NUMBER 0001163653. NOMURA HOLDINGS, INC. (NHI) IS THE PARENT HOLDING COMPANY OF A NUMBER OF OPERATING SUBSIDIARIES, SOME OF WHICH ARE OR MAY BE INSTITUTIONAL INVESTMENT MANAGERS FOR THE PURPOSES OF THE REPORTING REQUIREMENTS UNDER SECTION 13(F) OF THE SECURITIES EXCHANGE ACT OF 1934 (THE ACT) AND THE RULES PROMULGATED THEREUNDER. NHI ITSELF DOES NOT DIRECTLY EXERCISE INVESTMENT DISCRETION WITH RESPECT TO ANY SECTION 13(F) SECURITIES POSITIONS. IN THAT NHI'S OWNERSHIP INTEREST IN SUCH OPERATING SUBSIDIARIES IS DEEMED THE EXERCISE OF INVESTMENT DISCRETION REGARDING CERTAIN OF SUCH SUBSIDIARIES' MANAGED ACCOUNTS, THE INFORMATION REQUIRED BY FORM 13F IS REPORTED BY NHI ON BEHALF OF CERTAIN OF NHI'S OPERATING SUBSIDIARIES WHICH ARE INSTITUTIONAL INVESTMENT MANAGERS SUBJECT TO SECTION 13(F) OF THE ACT.

Nomura Holdings Inc.

Name of Institutional Investment Manager

1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan

Business Address (Street) (City) (State) (Zip)

13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Brendan G. Daly Head of Compliance and Surveillance 212-667-1246

Name (Title) (Phone)

/s/ Brendan G. Daly

(Manual Signature of Person Duly Authorized to Submit This Report)

New York, NY February 17, 2009

(Place and Date of Signing)

Report Type:

- [] 13F HOLDINGS REPORT.
[] 13F NOTICE.
[X] 13F COMBINATION REPORT.

Form 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 4
Form 13F Information Table Entry Total: 31
Form 13F Information Table Value Total: \$20,387 (thousands)

List of Other Included Managers:

Name and 13F file numbers of ALL Institutional Investment Managers with respect to which this schedule is filed (other than the one filing this report): (List in alphabetical order).

13F File No.: Name:

6. 28-05739 Global Funds Management, S.A.

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

2. 28-04985 Nomura International plc

 4. 28-04993 Nomura Securities (Bermuda)

 1. 28-04983 Nomura Securities International, Inc.

1Page 1

FORM 13F
 INFORMATION TABLE
 QUARTER ENDING
 12/31/2008

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PRN	PUT CALL	INVSTMT DISCRETN	OT MAN
0ACCURIDE CORP		004398103	138	000000600000	SH		DEFINED	01
ALLIS CHALMERS ENERGY INC		019645506	106	000000019400	SH		DEFINED	01
ALTUS PHARMACEUTICALS INC		02216N105	459	000000866624	SH		DEFINED	02
ARMSTRONG WORLD INDS INC NEW		04247X102	856	000000039637	SH		DEFINED	01
COMCAST CORP NEW		20030N200	168	000000010443	SH		DEFINED	01
ORE MARK HOLDING CO INC		218681104	1,253	000000058235	SH		DEFINED	01
DANA HOLDING CORP		235825205	606	000000846303	SH		DEFINED	01
DELTA AIR LINES INC DEL		247361702	802	000000070014	SH		DEFINED	01
FTI CONSULTING INC		302941109	397	000000008900	SH		DEFINED	01
FEDERAL MOGUL CORP		313549404	1,668	000000394474	SH		DEFINED	01
GRACE W R & CO DEL NEW		38388F108	78	000000013221	SH		DEFINED	01
HORNBECK OFFSHORE SVCS INC N		440543106	186	000000011388	SH		DEFINED	01
HUNTSMAN CORP		447011107	182	0000000052990	SH		DEFINED	01
IDENIX PHARMACEUTICALS INC		45166R204	1,786	000000301476	SH		DEFINED	02
INNOPHOS HOLDINGS INC		45774N108	463	000000023409	SH		DEFINED	01
JPMORGAN & CHASE & CO		46625H100	315	000000010000	SH		DEFINED	01
MEDCATH CORP		58404W109	188	000000018047	SH		DEFINED	01
MIRANT CORP NEW		60467R100	651	000000034531	SH		DEFINED	01
NRG ENERGY INC		629377508	428	000000018350	SH		DEFINED	01
NYSE EURONEXT		629491101	1,434	0000000052400	SH		DEFINED	01
OWENS CORNING NEW		690742101	1,091	000000063073	SH		DEFINED	01
SCOTTS MIRACLE GRO CO		810186106	210	000000007090	SH		DEFINED	01
EMGROUP ENERGY PARTNERS L P		81662W108	110	000000043800	SH		DEFINED	01
SOLUTIA INC		834376501	1,149	000000255491	SH		DEFINED	01
SOVEREIGN BANCORP INC		845905108	1,112	000000373200	SH		DEFINED	02
ARGACEPT INC		87611R306	3,607	000001190476	SH		DEFINED	02
TIME WARNER CABLE INC		88732J108	281	000000013103	SH		DEFINED	01
TYSON FOODS INC		902494103	164	000000018813	SH		DEFINED	02
VIRGIN MEDIA INC		92769L101	152	000000030556	SH		DEFINED	01
WATERS CORP		941848103	18	0000000000500	SH		DEFINED	01
WINN DIXIE STORES INC		974280307	316	000000019660	SH		DEFINED	01