Edgar Filing: Spectrum Brands Holdings, Inc. - Form 4

Spectrum Brands Holdings, Inc. Form 4 September 29, 2015

September 29	, 2015											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
	• UNITED	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549										
Check this if no longe subject to	r STATEN	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF										
Section 16 Form 4 or Form 5 obligations may contin <i>See</i> Instruc 1(b).	Filed pur Section 17(SECURITIES Estimated average burden hours per response 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 0.5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 0.5										
(Print or Type Re	esponses)											
STEINBERG JOSEPH S Symbol				Name and Ticker or Trading n Brands Holdings, Inc.				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
		[SPB]					(Check an applicable)					
(Last) (First) (Middle) 3. Date of 1 (Month/Da C/O SPECTRUM BRANDS 09/25/20				-				_X_Director10% Owner Officer (give titleOther (specify below)below)				
HOLDINGS, WAY	INC., 3001 DE	MING										
	(Street)	(Street) 4. If Amendm Filed(Month/I						 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
MIDDLETO	N, WI 53562							Form filed by M Person	More than One Re	eporting		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any	emed on Date, if 'Day/Year)	3. Transactic Code (Instr. 8) Code V	Disposed (Instr. 3,	l (A) c l of (D))	Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	09/25/2015			А	1,503	A	\$ 0 (1)	1,503 <u>(2)</u>	D			
Reminder: Report	rt on a separate line	for each c	lass of secur	ities benefic	cially own	ed dire	ectly or	indirectly.				

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	ercise of ative	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
STEINBERG JOSEPH S C/O SPECTRUM BRANDS HOLDINGS 3001 DEMING WAY MIDDLETON, WI 53562	S, INC.	X						
Signatures								
/s/ Nathan E. Fagre, attorney-in-fact	09/29/20	15						

Date

Explanation of Responses:

**Signature of Reporting Person

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Person was awarded 1,503 restricted stock units under the Spectrum Brands Holdings, Inc. 2011 Omnibus Equity Award Plan which are settled in shares of common stock of the Issuer and which are scheduled to vest in full on October 1, 2015. This number (1) represents the pro-rata amount, based on Mr. Steinberg's election to the Board of Directors on February 19, 2015, of restricted stock units

- awarded to directors of the Issuer for their fiscal year 2015 service.
- (2) Amount includes the restricted stock units in footnote 1 above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.