SMITH A O CORP Form 4

October 21, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person * RETTLER STEVE W

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

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response...

Estimated average

burden hours per

(Last) (First) SMITH A O CORP [AOS]

(Check all applicable)

A. O. SMITH

(Middle)

CORPORATION, 11270 WEST PARK PLACE

3. Date of Earliest Transaction

(Month/Day/Year) 10/20/2009

Director 10% Owner _X__ Officer (give title Other (specify below)

Senior Vice President - CD

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

MILWAUKEE, WI 53224

(City)	(State)	(Zip) Tab	ole I - Non-	-Derivative	Secu	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or Dispos (Instr. 3,	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/20/2009		M	9,650	A	\$ 15.135	17,345	D	
Common Stock	10/20/2009		M	11,200	A	\$ 26.88	28,545	D	
Common Stock	10/20/2009		S	75	D	\$ 43.5	28,470	D	
Common Stock	10/20/2009		S	322	D	\$ 43.51	28,148	D	
Common Stock	10/20/2009		S	3	D	\$ 43.53	28,145	D	

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Common Stock	10/20/2009	S	600	D	\$ 43.54	27,545	D
Common Stock	10/20/2009	S	2,900	D	\$ 43.55	24,645	D
Common Stock	10/20/2009	S	100	D	\$ 43.555	24,545	D
Common Stock	10/20/2009	S	700	D	\$ 43.56	23,845	D
Common Stock	10/20/2009	S	2,100	D	\$ 43.57	21,745	D
Common Stock	10/20/2009	S	100	D	\$ 43.5775	21,645	D
Common Stock	10/20/2009	S	300	D	\$ 43.58	21,345	D
Common Stock	10/20/2009	S	200	D	\$ 43.585	21,145	D
Common Stock	10/20/2009	S	300	D	\$ 43.59	20,845	D
Common Stock	10/20/2009	S	100	D	\$ 43.5925	20,745	D
Common Stock	10/20/2009	S	100	D	\$ 43.595	20,645	D
Common Stock	10/20/2009	S	1,550	D	\$ 43.6	19,095	D
Common Stock	10/20/2009	S	500	D	\$ 43.61	18,595	D
Common Stock	10/20/2009	S	100	D	\$ 43.62	18,495	D
Common Stock	10/20/2009	S	600	D	\$ 43.66	17,895	D
Common Stock	10/20/2009	S	400	D	\$ 43.68	17,495	D
Common Stock	10/20/2009	S	100	D	\$ 43.7	17,395	D
Common Stock	10/20/2009	S	100	D	\$ 43.72	17,295	D
Common Stock	10/20/2009	S	100	D	\$ 43.73	17,195	D
Common Stock	10/20/2009	D	850	D	\$ 43.75	16,345	D
	10/20/2009	S	1,550	D	\$ 43.76	14,795	D

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Common Stock							
Common Stock	10/20/2009	S	905	D	\$ 43.78	13,890	D
Common Stock	10/20/2009	S	495	D	\$ 43.79	13,395	D
Common Stock	10/20/2009	S	200	D	\$ 43.8	13,195	D
Common Stock	10/20/2009	S	1,100	D	\$ 43.82	12,095	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercise Expiration Date (Month/Day/Y	e	7. Title and 2 Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Options (Right to Buy) (1)	\$ 15.135	10/20/2009		M		9,650	10/08/2002	10/09/2011	Common Stock	9,650				
Employee Stock Options (Right to Buy) (2)	\$ 26.88	10/20/2009		M		11,200	10/10/2003	10/11/2012	Common Stock	11,200				

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Reporting Owners 3

RETTLER STEVE W A. O. SMITH CORPORATION 11270 WEST PARK PLACE MILWAUKEE, WI 53224

Senior Vice President - CD

Signatures

James F. Stern, Attorney-in-Fact for Steve W. Rettler

10/21/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted on 10/09/2001 under the A. O. Smith Corporation Long-Term Executive Incentive Compensation Plan, a transaction exempt under Rule 16b-3.
- (2) Granted on 10/11/2002 under the A. O. Smith Combined Executive Incentive Compensation Plan, a transaction exempt under Rule 16b-3. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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