SYSCO CORP Form 3 March 13, 2014

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SYSCO CORP [SYY] Grade Joel T. (Month/Day/Year) 03/03/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1390 ENCLAVE PARKWAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director \_X\_\_ 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person HOUSTON, TXÂ 77077 (give title below) (specify below) Form filed by More than One SVP, Finance - CAO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common Stock 26,999.46 (1) Common Stock 200 I Held in trust for son. Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

currently valid OMB control number.

required to respond unless the form displays a

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--------------------------------------------	----------------------------------------------------------	--------------------------------------------------------------------------------------	-----------------------------------------------	-------------------------------------------	----------------------------------------------------------------

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: SYSCO CORP - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to buy)	11/10/2014	11/09/2016	Common Stock	1,800	\$ 27.44	D	Â
Stock Option (Right to buy)	(2)	11/10/2017	Common Stock	21,000	\$ 28.87	D	Â
Stock Option (Right to buy)	(3)	11/10/2017	Common Stock	15,000	\$ 28.87	D	Â
Stock Option (Right to buy)	(4)	11/14/2018	Common Stock	29,500	\$ 27.65	D	Â
Stock Option (Right to buy)	(5)	11/13/2019	Common Stock	87,188	\$ 29.96	D	Â
Stock Option (Right to buy)	(6)	11/14/2023	Common Stock	75,637	\$ 30.4	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
Troporous o when I wante / I wante oo	Director	10% Owner	Officer	Other	
Grade Joel T. 1390 ENCLAVE PARKWAY HOUSTON, TX 77077	Â	ÂX	SVP, Finance - CAO	Â	

### **Signatures**

/s/ Russell T.
Libby

\*\*Signature of Reporting Person

O3/13/2014

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 20,442 restricted stock units that may be settled soley by delivery of an equal number of shares of common stock.
- (2) 21,000 options of which 7,000 were exercisable on 11/10/2013, 7,000 exercisable on 11/10/14 and 7,000 exercisable on 11/10/2015.
- (3) 15,000 options of which 5,000 were exercisable on 11/10/2013, 5,000 exercisable on 11/10/14 and 5,000 exercisable on 11/10/2015.
- (4) 29,500 options of which 4,375 were exercisable on 11/10/2013, 8,375 exercisable on 11/10/14, 8,375 exercisable on 11/10/2015 and 8,375 exercisable on 11/1/0/2016.
- (5) 87,188 options of which 17,437 were exercisable on 11/13/2013, 17,438 exercisable on 11/13/2014, 17,437 exercisable on 11/13/2015, 17,438 exercisable on 11/13/2016 and 17,438 exercisable on 11/13/2017.
- (6) 75,637 options of which 15,127 exercisable on 11/14/2014, 15,127 exercisable on 11/14/2015, 15,128 exercisable on 11/14/2016, 15,127 exercisable on 11/14/2017, 15,128 exercisable on 11/14/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2