

MCCONNELL J WARD JR
Form 4
December 03, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MCCONNELL J WARD JR

2. Issuer Name and Ticker or Trading Symbol
ARTS WAY MANUFACTURING CO INC [ARTW]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5556 HIGHWAY 9
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/29/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

ARMSTRONG, IA 50514

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Price				
				Code	V	Amount			
Common Stock	11/29/2018		P	3,589 (1)	A	\$ 2.25	1,829,767	I	By J. Ward McConnell, Jr. Living Trust
Common Stock	11/30/2018		P	200 (1)	A	\$ 2.25	1,829,967	I	By J. Ward McConnell, Jr. Living Trust
Common Stock	11/30/2018		A	1,000 (2)	A	\$ 0	1,830,967	I	By J. Ward McConnell, Jr. Living Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)
Stock Option (Right to Buy)	\$ 8.66					04/28/2011 04/28/2021		Common Stock	2,000
Stock Option (Right to Buy)	\$ 6.75					04/26/2012 04/26/2022		Common Stock	2,000
Stock Option (Right to Buy)	\$ 6.4					04/25/2013 04/25/2023		Common Stock	2,000
Stock Option (Right to Buy)	\$ 6.15					04/29/2014 04/29/2024		Common Stock	2,000
Stock Option (Right to Buy)	\$ 4.7					04/22/2015 04/22/2025		Common Stock	2,000

Reporting Owners

Reporting Owner Name / Address Relationships

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Director 10% Owner Officer Other

MCCONNELL J WARD JR
5556 HIGHWAY 9
ARMSTRONG, IA 50514

X X

Signatures

/s/ Amanda Lorentz as attorney-in-fact for J. Ward McConnell, Jr. pursuant to power of attorney previously filed.

12/03/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction was made in accordance with the terms of a Rule 10b5-1 trading plan previously adopted by the reporting person in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.
- (2) Represents fully-vested restricted stock granted pursuant to the director compensation plan.

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