

Edgar Filing: LMP CAPITAL & INCOME FUND INC. - Form NSAR-B

LMP CAPITAL & INCOME FUND INC.

Form NSAR-B

January 28, 2014

PAGE 1  
000 B000000 11/30/2013  
000 C000000 1270131  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 LMP CAPITAL AND INCOME FUND INC.  
001 B000000 811-21467  
001 C000000 8887770102  
002 A000000 620 EIGHTH AVENUE, 49TH FLOOR  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10018  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 LEGG MASON PARTNERS FUND ADVISOR, LLC  
008 B000001 A  
008 C000001 801-66785  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10018  
008 A000002 WESTERN ASSET MANAGEMENT COMPANY  
008 B000002 S  
008 C000002 801-08162  
008 D010002 PASADENA  
008 D020002 CA  
008 D030002 91101  
008 A000003 CLEARBRIDGE INVESTMENTS, LLC  
008 B000003 S  
008 C000003 801-64710  
008 D010003 NEW YORK  
008 D020003 NY  
008 D030003 10018  
008 A000004 WESTERN ASSET MGT. CO. LTD.  
008 B000004 S  
008 C000004 801-00000  
008 D010004 LONDON  
008 D050004 ENGLAND  
008 D060004 EC2A2EN  
012 A000001 AMERICAN STOCK TRANSFER & TRUST CO.  
012 B000001 84-000416  
012 C010001 NEW YORK  
012 C020001 NY  
PAGE 2  
012 C030001 10038  
013 A000001 KPMG LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10154  
014 A000001 LEGG MASON INVESTOR SERVICES, LLC

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014 B000001 8-53089  
 014 A000002 CARNES CAPITAL CORPORATION  
 014 B000002 8-33443  
 014 A000003 PERMAL (HONG KONG) LIMITED  
 014 B000003 8-00000  
 014 A000004 ROYCE FUND SERVICES, INC.  
 014 B000004 8-28663  
 015 A000001 STATE STREET BANK AND TRUST COMPANY  
 015 B000001 C  
 015 C010001 BOSTON  
 015 C020001 MA  
 015 C030001 02111  
 015 E010001 X  
 018 000000 Y  
 019 A000000 Y  
 019 B000000 168  
 019 C000000 LEGGMASONF  
 020 A000001 GOLDMAN SACHS & CO.  
 020 B000001 13-5108880  
 020 C000001 42  
 020 A000002 MERRILL LYNCH PIERCE FENNER & SMITH, INC.  
 020 B000002 13-5674085  
 020 C000002 41  
 020 A000003 JPMORGAN CHASE & CO.  
 020 B000003 13-3224016  
 020 C000003 32  
 020 A000004 CAPITAL INSTITUTIONAL SERVICES  
 020 B000004 75-1565705  
 020 C000004 19  
 020 A000005 CREDIT SUISSE SECURITIES (USA) LLC  
 020 B000005 05-0546650  
 020 C000005 18  
 020 A000006 MORGAN STANLEY  
 020 B000006 13-2655998  
 020 C000006 17  
 020 A000007 JEFFERIES & COMPANY, INC.  
 020 B000007 95-2622900  
 020 C000007 17  
 020 A000008 FRIEDMAN, BILLING, RAMSEY & CO. INC.  
 020 B000008 32-0045263  
 020 C000008 14  
 020 A000009 LAZARD CAPITAL MARKETS LLC  
 020 B000009 20-2343668  
 020 C000009 11  
 020 A000010 CREDIT AGRICOLE SA  
 PAGE 3  
 020 B000010 13-3867844  
 020 C000010 10  
 021 000000 285  
 022 A000001 RBS SECURITIES INC.  
 022 B000001 13-3172275  
 022 C000001 1237962  
 022 D000001 0  
 022 A000002 DEUTSCHE BANK SECURITIES, INC.  
 022 B000002 13-2730828  
 022 C000002 612350  
 022 D000002 0  
 022 A000003 MERRILL LYNCH PIERCE FENNER & SMITH, INC.  
 022 B000003 13-5674085  
 022 C000003 9895  
 022 D000003 4759  
 022 A000004 BARCLAYS CAPITAL INC.

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022 B000004 06-1031656  
 022 C000004 1286  
 022 D000004 8330  
 022 A000005 WELLS FARGO & CO.  
 022 B000005 41-0449260  
 022 C000005 5259  
 022 D000005 250  
 022 A000006 PIPER JAFFRAY & CO.  
 022 B000006 41-0953246  
 022 C000006 845  
 022 D000006 4650  
 022 A000007 CREDIT SUISSE SECURITIES (USA) LLC  
 022 B000007 05-0546650  
 022 C000007 4211  
 022 D000007 1263  
 022 A000008 GOLDMAN SACHS & CO.  
 022 B000008 13-5108880  
 022 C000008 2199  
 022 D000008 2855  
 022 A000009 CITIGROUP GLOBAL MARKETS, INC.  
 022 B000009 11-2418191  
 022 C000009 4864  
 022 D000009 0  
 022 A000010 IMPERIAL CAPITAL  
 022 B000010 95-4644495  
 022 C000010 0  
 022 D000010 4024  
 023 C000000 1885407  
 023 D000000 32476  
 024 000000 Y  
 025 A000001 GOLDMAN SACHS & CO.  
 025 B000001 13-5108880  
 025 C000001 D  
 025 D000001 149  
 025 A000002 MERRILL LYNCH PIERCE FENNER & SMITH, INC.  
 PAGE 4  
 025 B000002 13-5674085  
 025 C000002 D  
 025 D000002 122  
 026 A000000 N  
 026 B000000 Y  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 026 F000000 N  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 027 000000 N  
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 028 A020000 0  
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 028 C020000 0  
 028 C030000 0  
 028 C040000 0  
 028 D010000 0

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|        |         |       |
|--------|---------|-------|
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| 028    | D030000 | 0     |
| 028    | D040000 | 0     |
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| 028    | E030000 | 0     |
| 028    | E040000 | 0     |
| 028    | F010000 | 0     |
| 028    | F020000 | 0     |
| 028    | F030000 | 0     |
| 028    | F040000 | 0     |
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| 028    | G020000 | 0     |
| 028    | G030000 | 0     |
| 028    | G040000 | 0     |
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| 035    | 000000  | 0     |
| 036    | B000000 | 0     |
| PAGE 5 |         |       |
| 038    | 000000  | 0     |
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| 042    | B000000 | 0     |
| 042    | C000000 | 0     |
| 042    | D000000 | 0     |
| 042    | E000000 | 0     |
| 042    | F000000 | 0     |
| 042    | G000000 | 0     |
| 042    | H000000 | 0     |
| 043    | 000000  | 0     |
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| 046    | 000000  | N     |
| 047    | 000000  | Y     |
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| 048    | C010000 | 0     |
| 048    | C020000 | 0.000 |
| 048    | D010000 | 0     |
| 048    | D020000 | 0.000 |
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| 048    | E020000 | 0.000 |
| 048    | F010000 | 0     |
| 048    | F020000 | 0.000 |
| 048    | G010000 | 0     |
| 048    | G020000 | 0.000 |
| 048    | H010000 | 0     |
| 048    | H020000 | 0.000 |
| 048    | I010000 | 0     |
| 048    | I020000 | 0.000 |
| 048    | J010000 | 0     |
| 048    | J020000 | 0.000 |
| 048    | K010000 | 0     |

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|     |         |       |   |
|-----|---------|-------|---|
| 048 | K020000 | 0.000 |   |
| 049 | 000000  | N     |   |
| 050 | 000000  | N     |   |
| 051 | 000000  | N     |   |
| 052 | 000000  | N     |   |
| 053 | A000000 | N     |   |
| 054 | A000000 | Y     |   |
| 054 | B000000 | Y     |   |
| 054 | C000000 | Y     |   |
| 054 | D000000 | N     |   |
| 054 | E000000 | N     |   |
| 054 | F000000 | N     |   |
| 054 | G000000 | Y     |   |
| 054 | H000000 | Y     |   |
| 054 | I000000 | N     |   |
|     | PAGE    | 6     |   |
| 054 | J000000 | Y     |   |
| 054 | K000000 | N     |   |
| 054 | L000000 | Y     |   |
| 054 | M000000 | Y     |   |
| 054 | N000000 | N     |   |
| 054 | O000000 | Y     |   |
| 055 | A000000 | N     |   |
| 055 | B000000 | Y     |   |
| 056 | 000000  | Y     |   |
| 057 | 000000  | N     |   |
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| 059 | 000000  | Y     |   |
| 060 | A000000 | N     |   |
| 060 | B000000 | N     |   |
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| 062 | A000000 | N     |   |
| 062 | B000000 | 0.0   |   |
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| 062 | E000000 | 0.0   |   |
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| 062 | N000000 | 0.0   |   |
| 062 | O000000 | 0.0   |   |
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| 066 | B000000 | N     |   |
| 066 | C000000 | N     |   |
| 066 | D000000 | N     |   |
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| 066 | F000000 | N     |   |
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| 067 | 000000  | Y     |   |
| 068 | A000000 | N     |   |
| 068 | B000000 | N     |   |
| 069 | 000000  | N     |   |

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|     |         |        |
|-----|---------|--------|
| 070 | A010000 | Y      |
| 070 | A020000 | Y      |
| 070 | B010000 | Y      |
| 070 | B020000 | N      |
| 070 | C010000 | Y      |
|     | PAGE    | 7      |
| 070 | C020000 | N      |
| 070 | D010000 | Y      |
| 070 | D020000 | N      |
| 070 | E010000 | Y      |
| 070 | E020000 | N      |
| 070 | F010000 | Y      |
| 070 | F020000 | N      |
| 070 | G010000 | Y      |
| 070 | G020000 | N      |
| 070 | H010000 | Y      |
| 070 | H020000 | N      |
| 070 | I010000 | Y      |
| 070 | I020000 | N      |
| 070 | J010000 | Y      |
| 070 | J020000 | Y      |
| 070 | K010000 | Y      |
| 070 | K020000 | Y      |
| 070 | L010000 | Y      |
| 070 | L020000 | Y      |
| 070 | M010000 | Y      |
| 070 | M020000 | Y      |
| 070 | N010000 | Y      |
| 070 | N020000 | N      |
| 070 | O010000 | Y      |
| 070 | O020000 | Y      |
| 070 | P010000 | Y      |
| 070 | P020000 | N      |
| 070 | Q010000 | Y      |
| 070 | Q020000 | N      |
| 070 | R010000 | Y      |
| 070 | R020000 | N      |
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| 071 | C000000 | 359120 |
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| 072 | B000000 | 623    |
| 072 | C000000 | 16406  |
| 072 | D000000 | 0      |
| 072 | E000000 | 0      |
| 072 | F000000 | 3141   |
| 072 | G000000 | 0      |
| 072 | H000000 | 0      |
| 072 | I000000 | 72     |
| 072 | J000000 | 5      |
| 072 | K000000 | 0      |
| 072 | L000000 | 36     |
| 072 | M000000 | 48     |
| 072 | N000000 | 20     |
| 072 | O000000 | 0      |
| 072 | P000000 | 657    |
|     | PAGE    | 8      |
| 072 | Q000000 | 0      |
| 072 | R000000 | 83     |
| 072 | S000000 | 19     |
| 072 | T000000 | 0      |

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|     |          |        |
|-----|----------|--------|
| 072 | U000000  | 0      |
| 072 | V000000  | 0      |
| 072 | W000000  | 50     |
| 072 | X000000  | 4130   |
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| 072 | Z000000  | 12900  |
| 072 | AA000000 | 2048   |
| 072 | BB000000 | 0      |
| 072 | CC010000 | 60947  |
| 072 | CC020000 | 0      |
| 072 | DD010000 | 10988  |
| 072 | DD020000 | 0      |
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| 073 | A020000  | 0.0000 |
| 073 | B000000  | 0.0000 |
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| 074 | B000000  | 5833   |
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| 074 | E000000  | 68070  |
| 074 | F000000  | 207605 |
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| 074 | I000000  | 107377 |
| 074 | J000000  | 2434   |
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| 074 | L000000  | 1121   |
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| 074 | O000000  | 872    |
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| 074 | Q000000  | 77000  |
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| 074 | R020000  | 0      |
| 074 | R030000  | 0      |
| 074 | R040000  | 231    |
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| 074 | U010000  | 17983  |
| 074 | U020000  | 0      |
| 074 | V010000  | 17.53  |
| 074 | V020000  | 0.00   |
| 074 | W000000  | 0.0000 |
| 074 | X000000  | 15462  |
| 074 | Y000000  | 168742 |

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|     |         |        |
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| 075 | B000000 | 291597 |
| 076 | 000000  | 15.91  |
| 077 | A000000 | Y      |
| 077 | B000000 | Y      |
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| 077 | D000000 | N      |
| 077 | E000000 | N      |
| 077 | F000000 | N      |
| 077 | G000000 | N      |
| 077 | H000000 | N      |
| 077 | I000000 | N      |
| 077 | J000000 | N      |
| 077 | K000000 | N      |

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077 L000000 N  
 077 M000000 N  
 077 N000000 N  
 077 O000000 N  
 077 P000000 N  
 077 Q010000 N  
 077 Q020000 N  
 077 Q030000 N  
 078 000000 N  
 080 A000000 ICI MUTUAL INSURANCE COMPANY  
 080 C000000 60000  
 081 A000000 Y  
 081 B000000 167  
 082 A000000 N  
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 083 B000000 0  
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 084 B000000 0  
 085 A000000 Y  
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 086 C020000 0  
 086 D010000 0  
 086 D020000 0  
 086 E010000 0  
 086 E020000 0  
 086 F010000 0  
 086 F020000 0  
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 087 A020000 50208A102  
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 PAGE 10  
 088 B000000 N  
 088 C000000 Y  
 088 D000000 N  
 SIGNATURE STEVE FRANK  
 TITLE TREASURER