#### Edgar Filing: BROADWOOD CAPITAL INC - Form 4

**BROADWOOD CAPITAL INC** Form 4 September 10, 2010 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BROADWOOD PARTNERS LP** Issuer Symbol STAAR SURGICAL CO [STAA] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director X 10% Owner \_Other (specify Officer (give title C/O BROADWOOD CAPITAL 09/08/2010 below) below) INC., 724 FIFTH AVENUE, 9TH **FLOOR** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting NEW YORK, NY 10019 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common D (1) Ρ 09/08/2010 367 Α \$ 4.43 6,029,005 Stock Common Footnote 09/08/2010 Ρ 0 \$0 A 6,029,005 Ι Stock (2)Common 09/08/2010 Ρ 2.600 A \$ 4.42 6,031,605 D (1) Stock Common Footnote Ρ Ι 09/08/2010 0 A \$0 6,031,605 Stock (2) Common 09/08/2010 Ρ 3.185 \$ 4.41 6,034,790  $D_{(1)}$ 

Α

Stock

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Common Stock	09/08/2010	Р	0	А	\$ 0	6,034,790	Ι	Footnote $(2)$
Common Stock	09/08/2010	Р	1,124	A	\$ 4.39	6,035,914	D (1)	
Common Stock	09/08/2010	Р	0	A	\$ 0	6,035,914	I	Footnote $(2)$
Common Stock	09/08/2010	Р	400	A	\$ 4.38	6,036,314	D (1)	
Common Stock	09/08/2010	Р	0	А	\$ 0	6,036,314	Ι	Footnote $(2)$
Common Stock	09/08/2010	Р	3,600	А	\$ 4.35	6,039,914	D <u>(1)</u>	
Common Stock	09/08/2010	Р	0	А	\$ 0	6,039,914	Ι	Footnote $(2)$
Common Stock	09/08/2010	Р	7,100	А	\$ 4.345	6,047,014	D (1)	
Common Stock	09/08/2010	Р	0	А	\$ 0	6,047,014	Ι	Footnote $(2)$
Common Stock	09/08/2010	Р	100	А	\$ 4.31	6,047,114	D (1)	
Common Stock	09/08/2010	Р	0	А	\$ 0	6,047,114	Ι	Footnote $(2)$
Common Stock	09/08/2010	Р	6,524	А	\$ 4.3	6,053,638	D (1)	
Common Stock	09/08/2010	Р	0	А	\$ 0	6,053,638	Ι	Footnote $(2)$
Common Stock	09/09/2010	Р	1,300	А	\$ 4.48	6,054,938	D (1)	
Common Stock	09/09/2010	Р	0	А	\$ 0	6,054,938	Ι	Footnote $(2)$
Common Stock	09/09/2010	Р	700	А	\$ 4.47	6,055,638	D (1)	
Common Stock	09/09/2010	Р	0	А	\$ 0	6,055,638	Ι	Footnote $(2)$
Common Stock	09/09/2010	Р	100	А	\$ 4.49	6,055,738	D (1)	
Common Stock	09/09/2010	Р	0	А	\$ 0	6,055,738	Ι	Footnote $(2)$
Common Stock	09/10/2010	Р	1,493	А	\$ 4.49	6,057,231	D (1)	
	09/10/2010	Р	0	А	\$0	6,057,231	Ι	

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Common Stock									Foo (2)	otnote	
Common Stock						25,900	D	(3)			
Reminder: R	eport on a sep	parate line for each cla	ss of securities benef	Persor inform require	ns who res nation cont ed to resp ys a curre	or indirectly. spond to the tained in thi ond unless ently valid Ol	is form are the form	not	SEC 14 (9-(		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	te and unt of rtying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
hepoting of the trans, transion	Director	10% Owner	Officer	Other			
BROADWOOD PARTNERS LP C/O BROADWOOD CAPITAL INC. 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019		Х					
BROADWOOD CAPITAL INC 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019		Х					
BRADSHER NEAL C C/O BROADWOOD CAPITAL INC. 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019		Х					

## Signatures

Broadwood Partners, L.P., By: Broadwood Capital, Inc., By: /s/ Neal C. Bradsher,					
President		09/10/2010			
	**Signature of Reporting Person	Date			
Broadwood Capital, Inc., By: /s/ Neal C. Bradsher, President					
	**Signature of Reporting Person	Date			
/s/ Neal C. Bradsher		09/10/2010			
	**Signature of Reporting Person	Date			

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are owned by Broadwood Partners, L.P., which is a Reporting Person.

The reported securities are directly owned by Broadwood Partners, L.P. and may be deemed beneficially owned by Broadwood Capital, Inc. as General Partner of Broadwood Partners, L.P. and Neal C. Bradsher as President of Broadwood Capital, Inc. The Reporting Persons

- (2) disclaim beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (3) These securities are owned by Neal C. Bradsher, who is a Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.