

LEVIN JOHN A
Form 4
May 31, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEVIN CAPITAL STRATEGIES, L.P.

(Last) (First) (Middle)

595 MADISON AVENUE, 17TH FLOOR

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FLUIDIGM CORP [FLDM]

3. Date of Earliest Transaction (Month/Day/Year)
05/29/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___X___ Other (specify below)

Passive Investor

6. Individual or Joint/Group Filing (Check Applicable Line)

___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|--|--------------------------------------|--|--------------------------------|---|---|--|--|--|
| Common Stock ⁽¹⁾ ₍₂₎ | 05/29/2018 | | P | 1,200 A | \$ 5.595 ⁽¹⁰⁾ | 11,247,392 ⁽¹¹⁾ | I | By: Managed Accounts of Levin Capital Strategies, L.P. ⁽³⁾ ₍₄₎ |
| Common Stock ⁽¹⁾ ₍₂₎ | | | | | 95,606 ⁽¹¹⁾ | I | By: Bi-Directional Disequilibrium Master Fund, Ltd. ⁽⁶⁾ | |
| Common Stock ⁽¹⁾ ₍₂₎ | | | | | 1,298,496 ⁽¹¹⁾ | I | By: Transamerica | |

| | | | | | | |
|---------------------------------------|--|--|--|--------|---|--|
| <u>(2)</u> | | | | | | Large Cap Value Fund <u>(5)</u> |
| Common Stock <u>(1)</u> <u>(2)</u> | | | | 56,820 | I | By: Levcap Alternative Fund, L.P. <u>(7)</u> |
| Common Stock <u>(1)</u> <u>(2)</u> | | | | 19,818 | I | By: Safinia Partners, L.P. <u>(8)</u> |
| Common Stock <u>(1)</u> <u>(2)</u> | | | | 5,000 | I | By: Spouse of John A. Levin <u>(9)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|------------------|
| | Director | 10% Owner | Officer | Other |
| LEVIN CAPITAL STRATEGIES, L.P. 595 MADISON AVENUE 17TH FLOOR NEW YORK, NY 10022 | | X | | Passive Investor |
| Levin Capital Strategies GP, LLC 595 MADISON AVENUE 17TH FLOOR | | X | | Passive Investor |

NEW YORK, NY 10022

Bi-Directional Disequilibrium Master Fund, Ltd.

595 MADISON AVENUE

17TH FLOOR

NEW YORK, NY 10022

X

Passive Investor

LCS, LLC

595 MADISON AVENUE

17TH FLOOR

NEW YORK, NY 10022

X

Passive Investor

Levcap Alternative Fund, L.P.

595 MADISON AVENUE

17TH FLOOR

NEW YORK, NY 10022

X

Passive Investor

LCS Event Partners, LLC

595 MADISON AVENUE

17TH FLOOR

NEW YORK, NY 10022

X

Passive Investor

Safinia Partners, L.P.

595 MADISON AVENUE

17TH FLOOR

NEW YORK, NY 10022

X

Passive Investor

LCS L/S, LLC

595 MADISON AVENUE

17TH FLOOR

NEW YORK, NY 10022

X

Passive Investor

LEVIN JOHN A

595 MADISON AVENUE

17TH FLOOR

NEW YORK, NY 10020

X

Passive Investor

Signatures

Levin Capital Strategies, L.P.; By: /s/ John A. Levin, Chief Executive Officer

05/31/2018

__Signature of Reporting Person

Date

Levin Capital Strategies GP, LLC; By: John A. Levin 2005 GRAT Separation Trust; By: /s/
Elisabeth Levin, Trustee

05/31/2018

__Signature of Reporting Person

Date

Bi-Directional Disequilibrium Master Fund, Ltd.; By: LCS, LLC; By: /s/ John A. Levin,
Managing Member

05/31/2018

__Signature of Reporting Person

Date

LCS, LLC; By: /s/ John A. Levin, Managing Member

05/31/2018

__Signature of Reporting Person

Date

Levcap Alternative Fund, L.P.; By: LCS Event Partners, LLC; By: /s/ John A. Levin,
Managing Member

05/31/2018

__Signature of Reporting Person

Date

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(10) The price reported is a weighted average price. The reported shares were purchased in multiple transactions at prices ranging from \$5.59 to \$5.60. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote 10.

(11) Change in amount of securities reported is due to an inadvertent accounting error.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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