

LORBERBAUM JEFFREY S
 Form 4
 May 22, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 LORBERBAUM JEFFREY S

2. Issuer Name and Ticker or Trading Symbol
 MOHAWK INDUSTRIES INC
 [MHK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
 (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

MOHAWK INDUSTRIES INC, P O BOX 12069

05/07/2018

Chairman and CEO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

CALHOUN, GA 30703

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) Amount	8,293,785	I	Aladdin Partners
Common Stock				(A) Amount	420,668	I	Dalton Fund
Common Stock				(A) Amount	439,140	I	Dalton Partners
Common Stock				(A) Amount	45,187	D	
Common Stock				(A) Amount	315,002	I	PAS Trust

Edgar Filing: LORBERBAUM JEFFREY S - Form 4

Common Stock						194		I	Managed Account	
Common Stock	05/07/2018		G ⁽¹⁾	V	420,668	A	Ⓛ	420,668	I	See FN 1 <u>(1)</u>
Common Stock	05/07/2018		J ⁽¹⁾		420,668	D	Ⓛ	420,668	I	See FN 1 <u>(1)</u>
Common Stock	05/07/2018		J ⁽¹⁾		420,668	A	Ⓛ	420,668	I	See FN 1 <u>(1)</u>
Common Stock	05/07/2018		G ⁽¹⁾		420,668	D	Ⓛ	420,668	I	See FN 1 <u>(1)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LORBERBAUM JEFFREY S MOHAWK INDUSTRIES INC P O BOX 12069 CALHOUN, GA 30703	X	X	Chairman and CEO	

Signatures

/s/Christi Scarbro, Attorney-in-Fact for Jeffrey S.
Lorberbaum

05/22/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects an exchange of the reporting person's 99.8% LP interest in Dalton Fund LP to the Lorberbaum Trust (the "Legacy Trust") for a promissory note from the Legacy Trust and a gift of the reporting person's 0.1% interest in Dalton Fund LP to the Legacy Trust, in each case for estate planning purposes. Both entities are controlled by the reporting person. Following this transaction, Dalton Fund LP continued to hold 420,668 issuer shares, which are indirectly beneficially owned by the reporting person due to his investment control over such shares. The reporting person disclaims beneficial ownership of the shares held by Dalton Fund LP except to the extent of his individual pecuniary interest in such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.