

Edgar Filing: LAKELAND BANCORP INC - Form 5

LAKELAND BANCORP INC  
Form 5  
February 09, 2001

/-----/  
/ OMB APPROVAL /  
/-----/  
/ OMB Number: 3235-0362 /  
/ Expires: February 1, 1994 /  
/ Estimated average burden /  
/ hours per response..... 1.0 /  
/-----/

+-----+  
| FORM 5 |  
+-----+

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

☐ Check box if  
no longer subject to Section 16.  
Form 4 or Form 5 obligations may  
continue. See Instruction 1(b).

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935 or  
Section 30(1) of the Investment Company Act of 1940

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

-----  
1. Name and Address of Reporting Person\*

|                            |            |          |
|----------------------------|------------|----------|
| Fredericks                 | Mark       | J        |
| -----                      | -----      | -----    |
| (Last)                     | (First)    | (Middle) |
| c/o Lakeland Bancorp, Inc. |            |          |
| 250 Oak Ridge Road         |            |          |
| -----                      | -----      | -----    |
|                            | (Street)   |          |
| Oak Ridge                  | New Jersey | 07438    |
| -----                      | -----      | -----    |
| (City)                     | (State)    | (Zip)    |

2. Issuer Name and Ticker or Trading Symbol    Lakeland Bancorp, Inc.  
-----

3. I.R.S. Identification Number of Reporting Person, if an entity  
(Voluntary) 154-62-7287  
-----

4. Statement for Month/Year    12/31/00  
-----

5. If Amendment, Date of Original (Month/Year)    Dec-00  
-----

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

# Edgar Filing: LAKELAND BANCORP INC - Form 5

[X] Director      [ ] Officer      [ ] 10% Owner      [ ] Other  
(give title below)      (specify below)

1.34%

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security<br>(Instr. 3) | 2. Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3. Trans-<br>action<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned at<br>end of<br>Issuer's<br>Fiscal Year<br>(Instr. 3) |
|------------------------------------|---------------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| Lakeland Bancorp, Inc.             |                                                         |                                           | Amount (A) or (D) Price                                                 |                                                                                                           |
| Common                             | 02/15/00                                                | DRP                                       | 105 A \$12.5000                                                         |                                                                                                           |
|                                    | 05/15/00                                                | DRP                                       | 118 A \$11.1100                                                         |                                                                                                           |
|                                    | 08/15/00                                                | DRP                                       | 114 A \$11.7100                                                         |                                                                                                           |
|                                    | 11/15/00                                                | DRP                                       | 130 A \$10.9200                                                         |                                                                                                           |
|                                    | 11/15/00                                                | S/D                                       | 92 A                                                                    |                                                                                                           |
|                                    | 11/15/00                                                | S/D                                       | 798 A                                                                   |                                                                                                           |
|                                    | 11/08/00                                                | G                                         | 250 A                                                                   | 19074                                                                                                     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

FORM 5 (Continued)

M. Fredericks

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative<br>Security (Instr. 3) | 2. Conver-<br>sion or<br>Exercise<br>Price of | 3. Trans-<br>action<br>Date<br>(Month/ | 4. Transac-<br>tion Code<br>(Instr. 8) |
|-----------------------------------------------|-----------------------------------------------|----------------------------------------|----------------------------------------|
|-----------------------------------------------|-----------------------------------------------|----------------------------------------|----------------------------------------|

Edgar Filing: LAKELAND BANCORP INC - Form 5

Deriv-  
ative  
Security

Day/  
Year)

-----

-----

-----

-----

-----

-----

-----

-----

-----

-----

| 6. Date Exer-<br>cisable and<br>Expiration<br>Date<br>(Month/Day/<br>Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity<br>(Instr. 5) | 9. Number<br>of Deriv-<br>ative<br>Secur-<br>ities<br>Bene-<br>ficially<br>Owned<br>at End<br>of Year<br>(Instr. 4) | 10. |
|----------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|-----|
| Date<br>Exer-<br>cisable                                                   | Expira-<br>tion<br>Date                                             | Title                                                            | Amount or<br>Number of<br>Shares                                                                                    |     |

-----

-----

-----

-----

-----

-----

Edgar Filing: LAKELAND BANCORP INC - Form 5

-----  
-----  
-----  
-----  
  
Explanation of Responses:  
-----

\*5 - Mark J. Fredericks custodian for Emily A. Fredericks, under NJUGMA,  
daughter of Mark J. Fredericks

CODES:

DRP - Dividend Reinvestment Plan

S/D - Stock Divident

G - Gift

/s/ Mark J. Fredericks

01/26/01

-----  
\*\*Signature of Reporting Person

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 7811(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.