

Edgar Filing: STONE JEFFREY S - Form 5

STONE JEFFREY S  
Form 5  
November 15, 2001

<p>----- FORM 5 -----</p>	<p>----- OMB APPROVAL ----- OMB Number: 3235-0362 Expires: December 31, 2001 Estimated average burden hours per response .... 1.0</p>
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<p>// CHECK BOX IF NO LONGER SUBJECT TO SECTION 16. FORM 4 OR FORM 5 OBLIGATIONS MAY CONTINUE. SEE INSTRUCTION 1(b) // FORM 3 HOLDINGS REPORTED // FORM 4 TRANSACTIONS REPORTED</p>	<p>UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549</p> <p>ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP</p> <p>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940</p>
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<p>1. Name and Address of Reporting Person* STONE, JEFFREY S ----- (Last) (First) (Middle) 40 Pequot Way ----- (Street) Canton Ma 02021 ----- (City) (State) (Zip)</p>	<p>2. Issuer Name and Ticker or Trading Symbol TWEETER HOME ENTERTAINMENT GROUP, INC. TWTR</p> <p>3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)</p> <p>4. Statement for Month/Year 9/2001</p> <p>5. If Amendment, Date of Original (Month/Year)</p> <p>6. R I</p> <p>7. I (</p>
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TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount o curities cially O End of I Fiscal Y (Instr.
			----- Amount (A) or Price (D)	

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\*If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

FORM 5 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.
				(A) (D)	Date Exercisable	Expiration Date
Option	12.97	9/27/01	J(1)	58,000	9/27/02	9/27/07

1. Title of Derivative Security

9. Number of Derivative

10. Ownership of Derivative

11. Nature of Indirect

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(Instr. 3)

Securities  
Beneficially  
Owned at End  
of Year  
(Instr. 4)

Security:  
Direct (D)  
or Indirect (I)  
(Instr. 4)

Beneficial  
Ownership  
(Instr. 4)

-----  
227,000

D  
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Explanation of Responses:

1. The 58,000 option shares granted 9/27/2001 are subject to an annual vesting schedule, to be f

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. /s/ J  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). -----

\*\*Sig

/s/

Note. File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form  
are not required to respond unless the form displays a currently valid OMB number.